



# Department of Chemistry Florida State University

## Elementary Photophysical Transitions and Photochemical Reactions

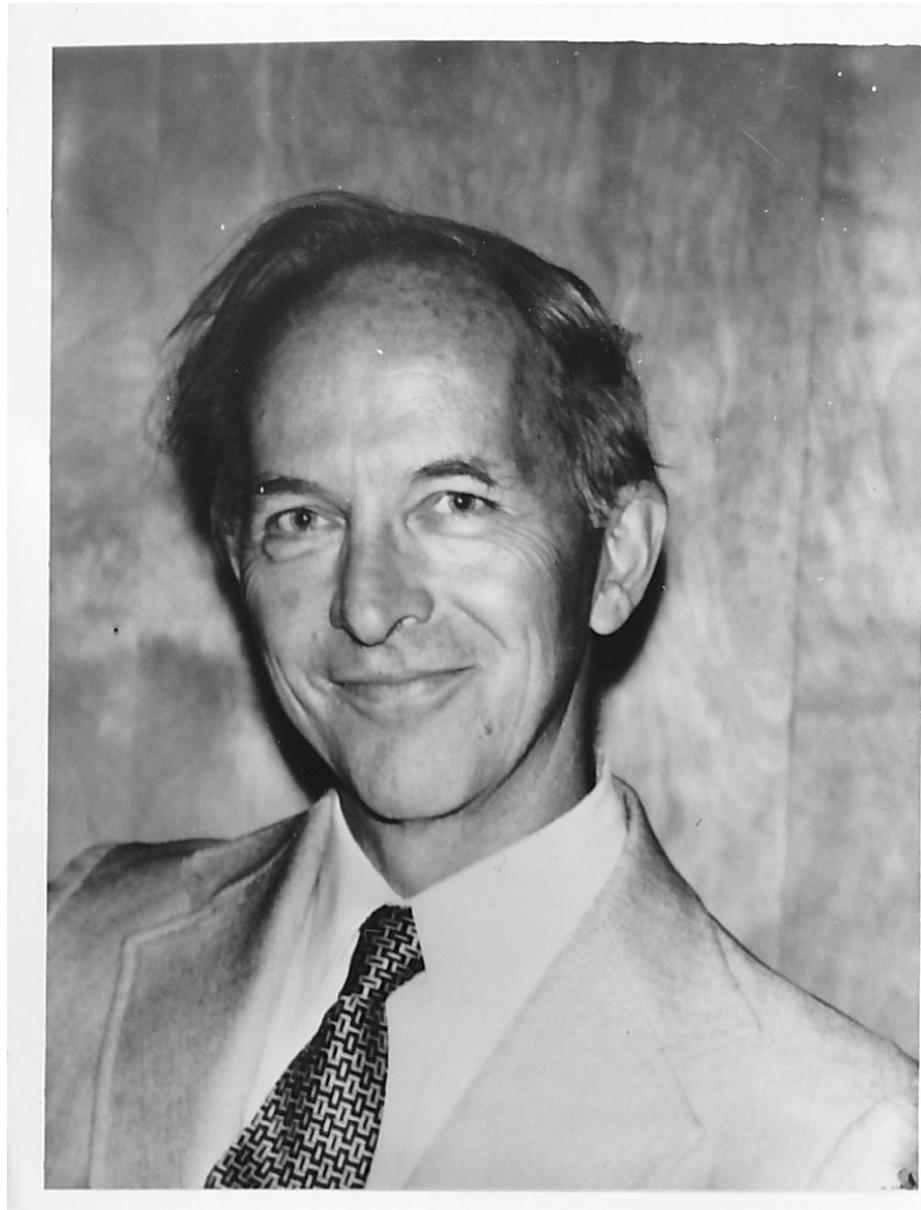
NSF REU June 14, 2024

## Photochemistry's Icons Why I am here.



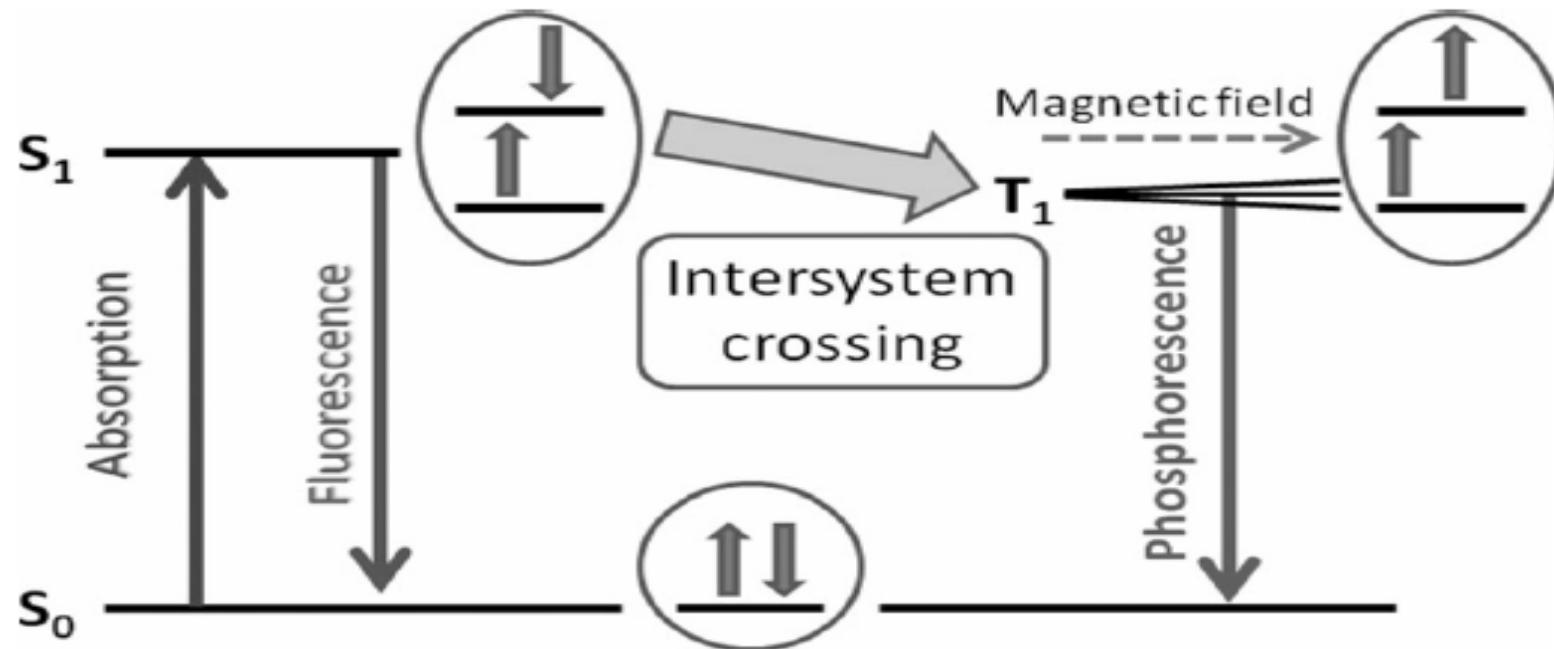
**Figure 1-3.** Some of photochemistry's icons. Upper (from left to right): Giacomo Ciamician (1857–1922), Theodor Förster (1910–1974), Michael Kasha (1920). Lower: George Hammond (1921–2005), George Porter (1920–2002), Ahmed Zewail (1946).

**Photo by Lilli Kasha ca. 1970**



**1920 - 2013**

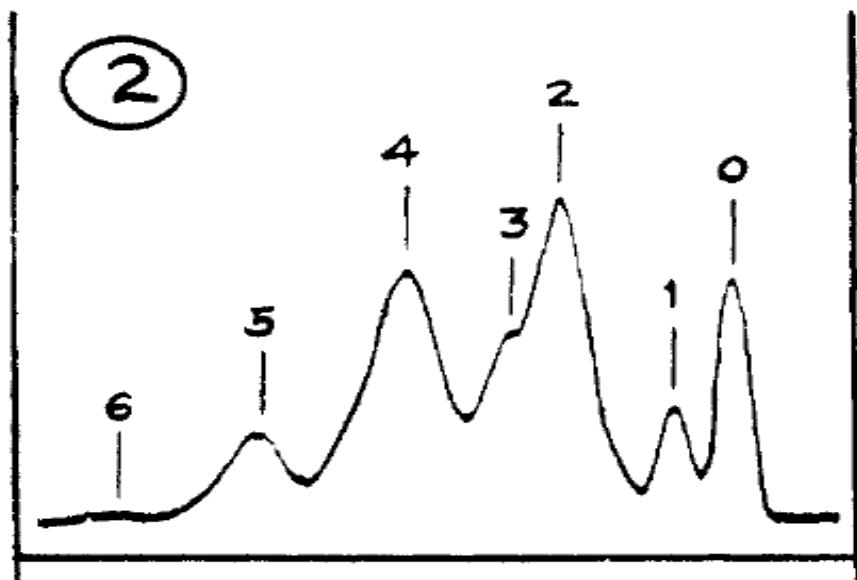
# Intersystem Crossing



**Demchenko, A. P. et al.** Michael Kasha: From Photochemistry and Flowers to Spectroscopy and Music *Angew. Chem. Int. Ed.* 2014, 53, 14316-14323.

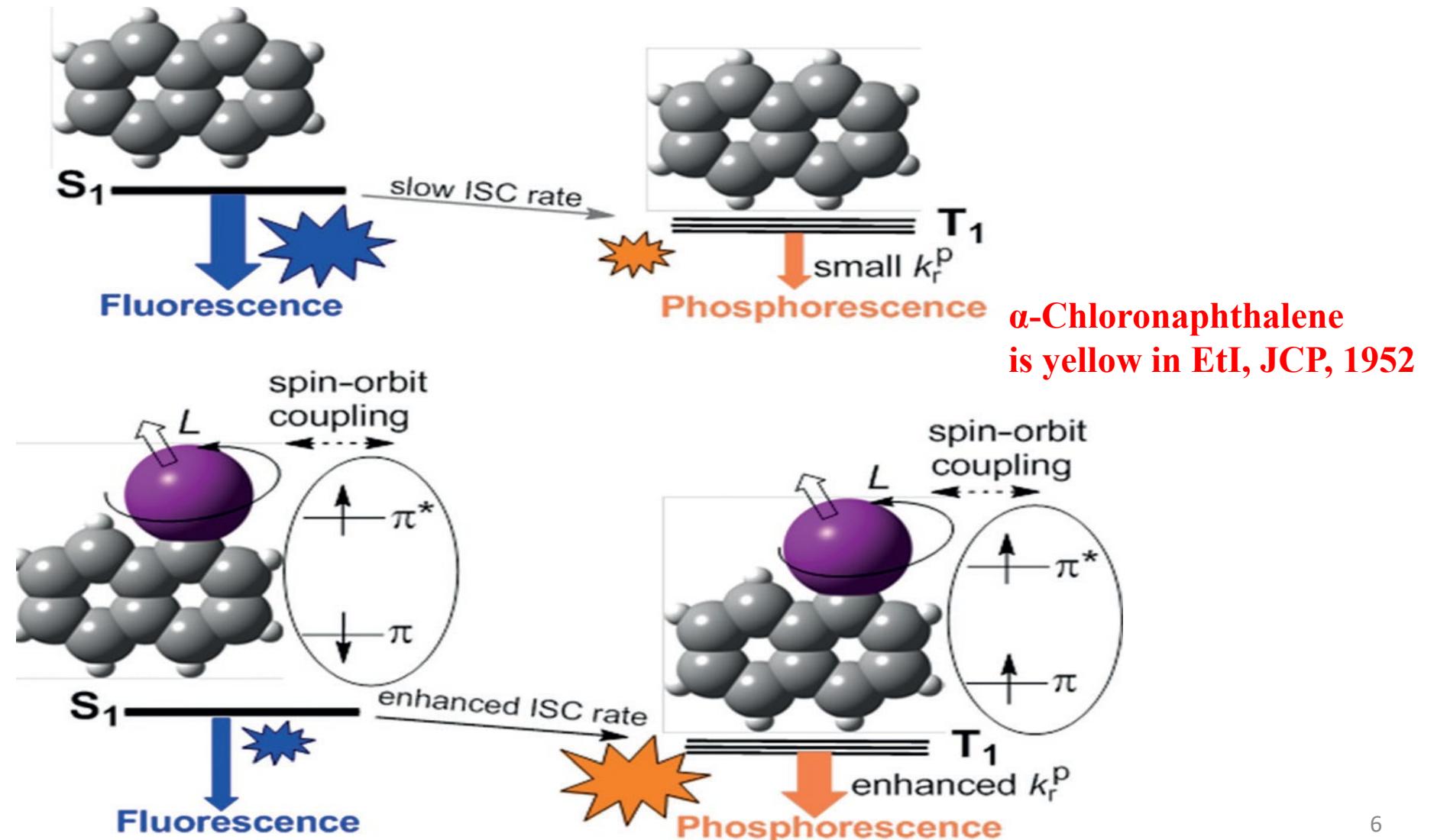
# Phosphorescence and the Triplet State

Gilbert N. Lewis , M. Kasha *J. Am. Chem. Soc.* **1944**, *66*, 2100-2116

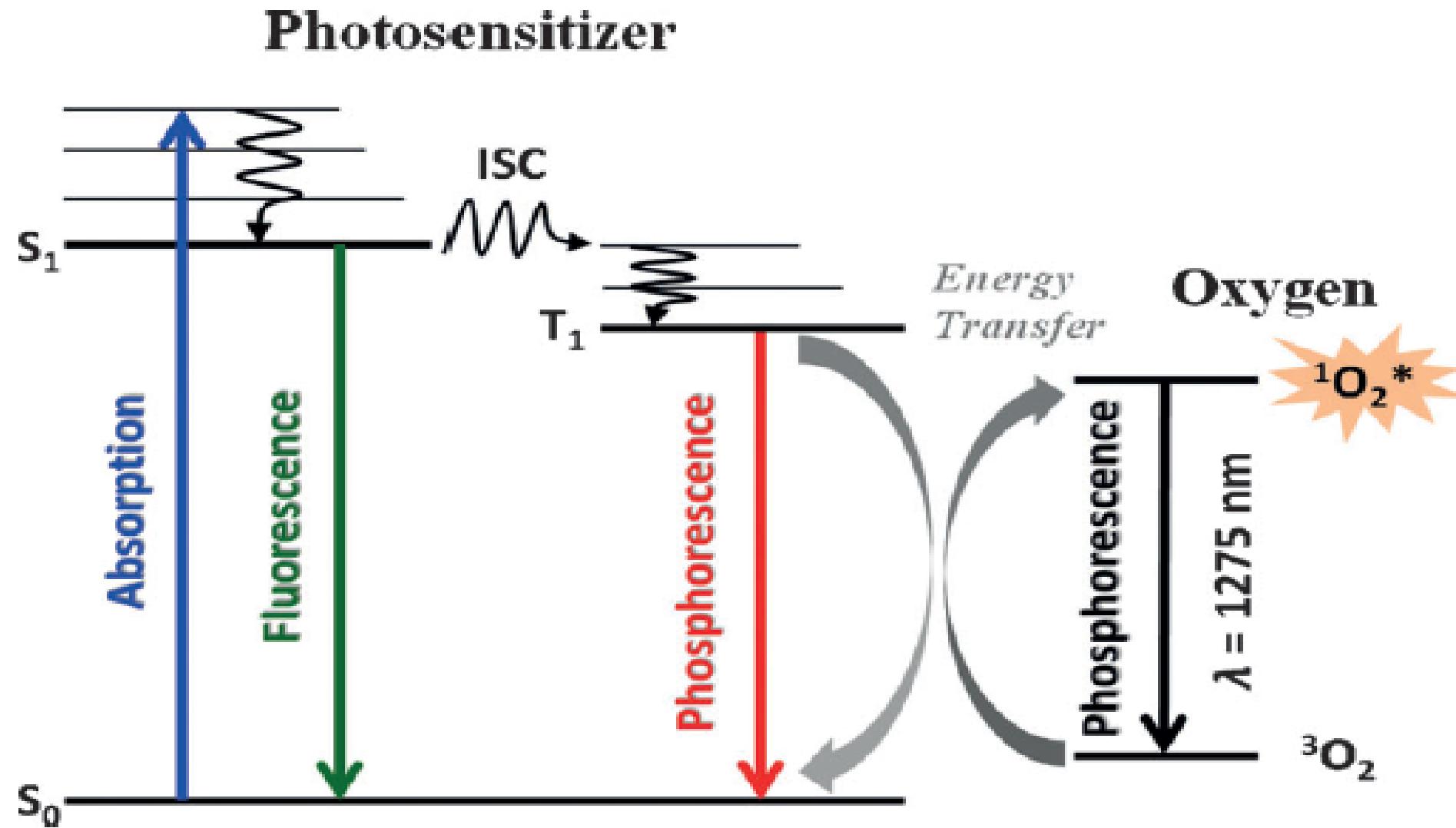


Naphthalene phosphorescence – triplet energies

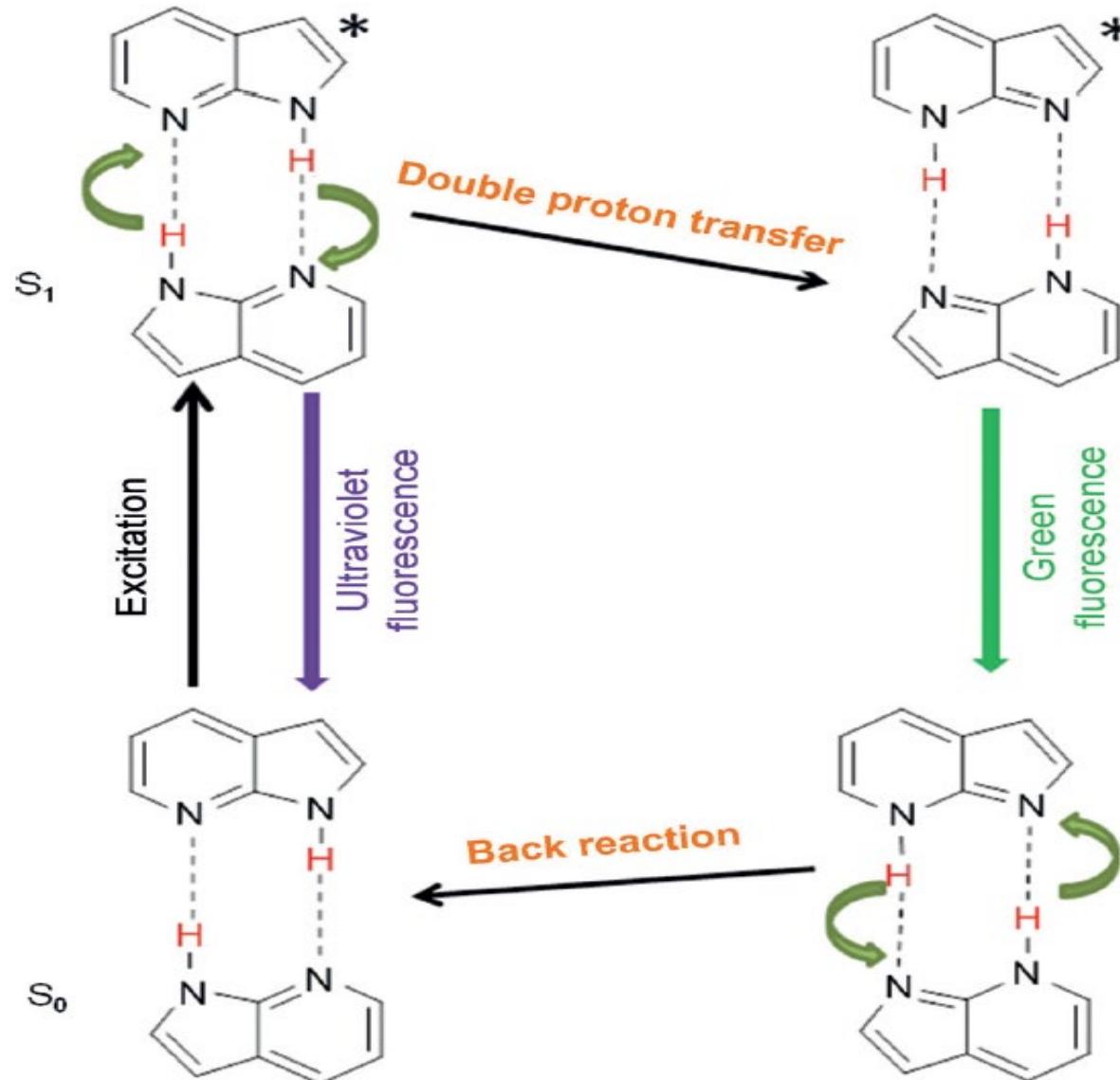
# Internal and External Heavy Atom Effects



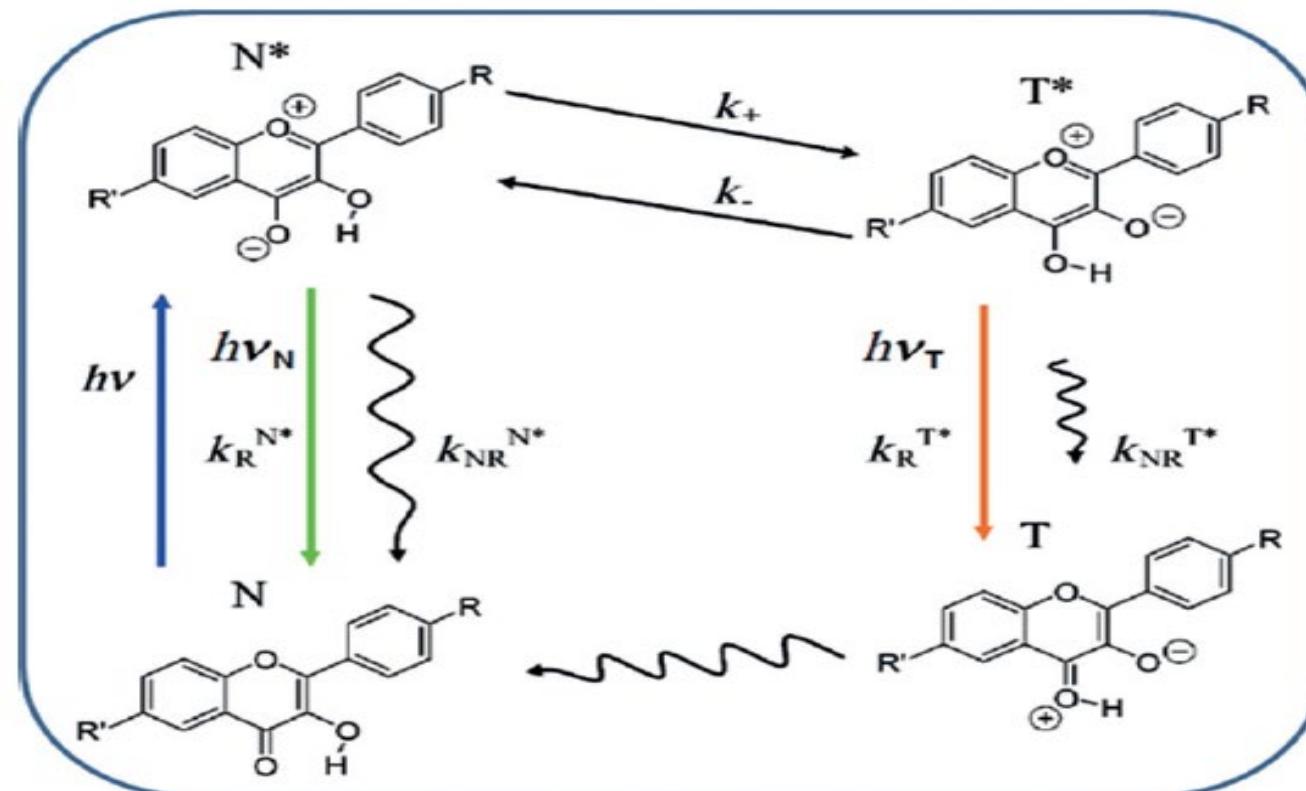
# Singlet O<sub>2</sub> in Chemiluminescence and in Triplet Energy Transfer



# Double Proton Transfer in 7-Azaindole Proton Transfer Spectroscopy



# The Blue Daylily and Intramolecular S<sub>1</sub> Proton Transfer in Flavonoids

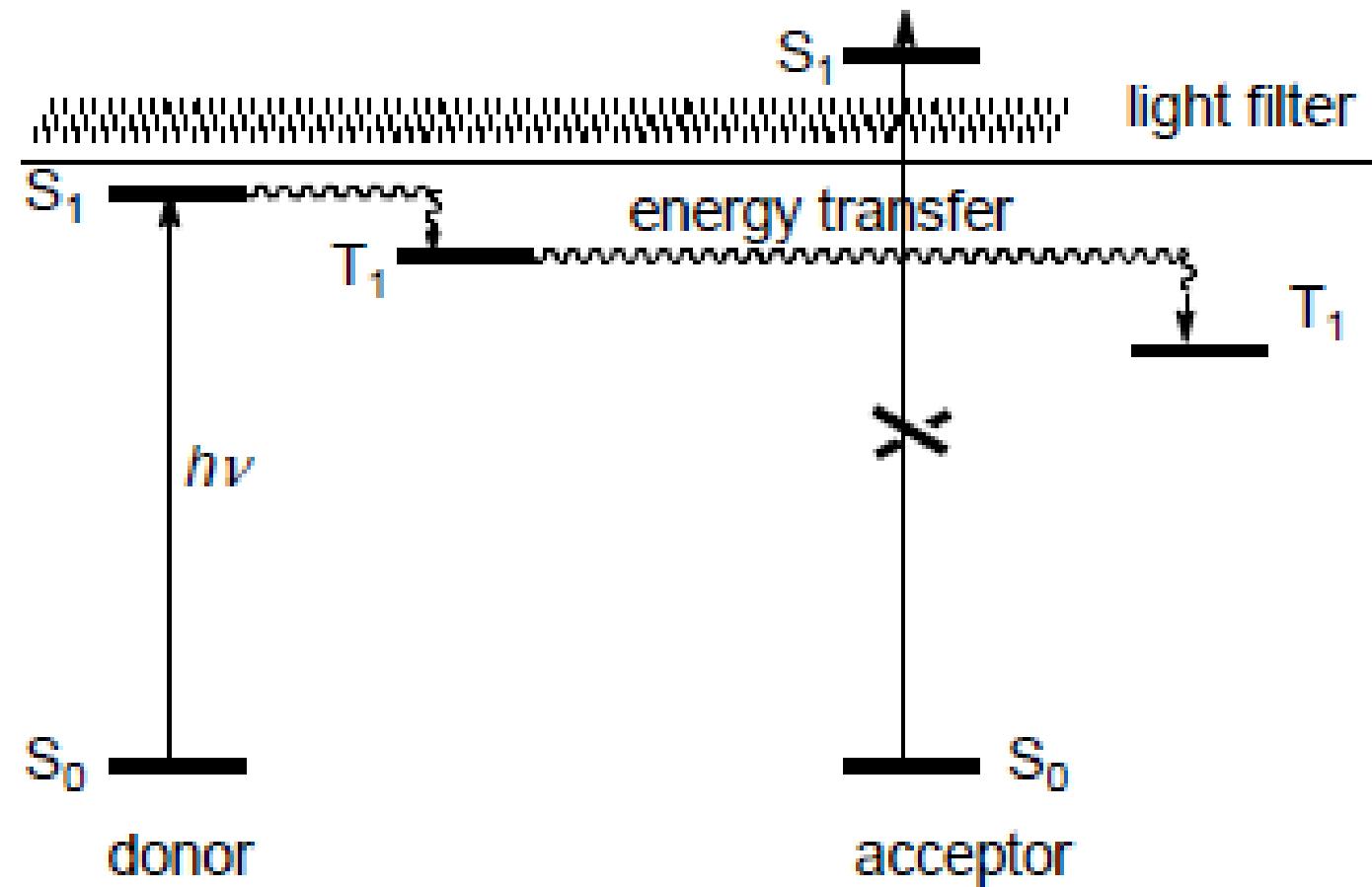


# The Kasha Guitar

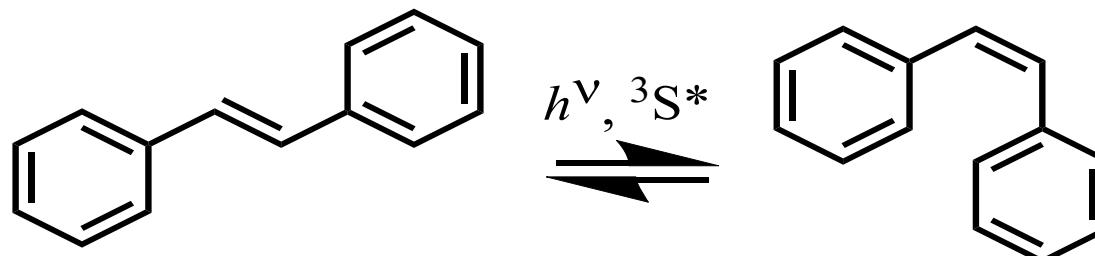
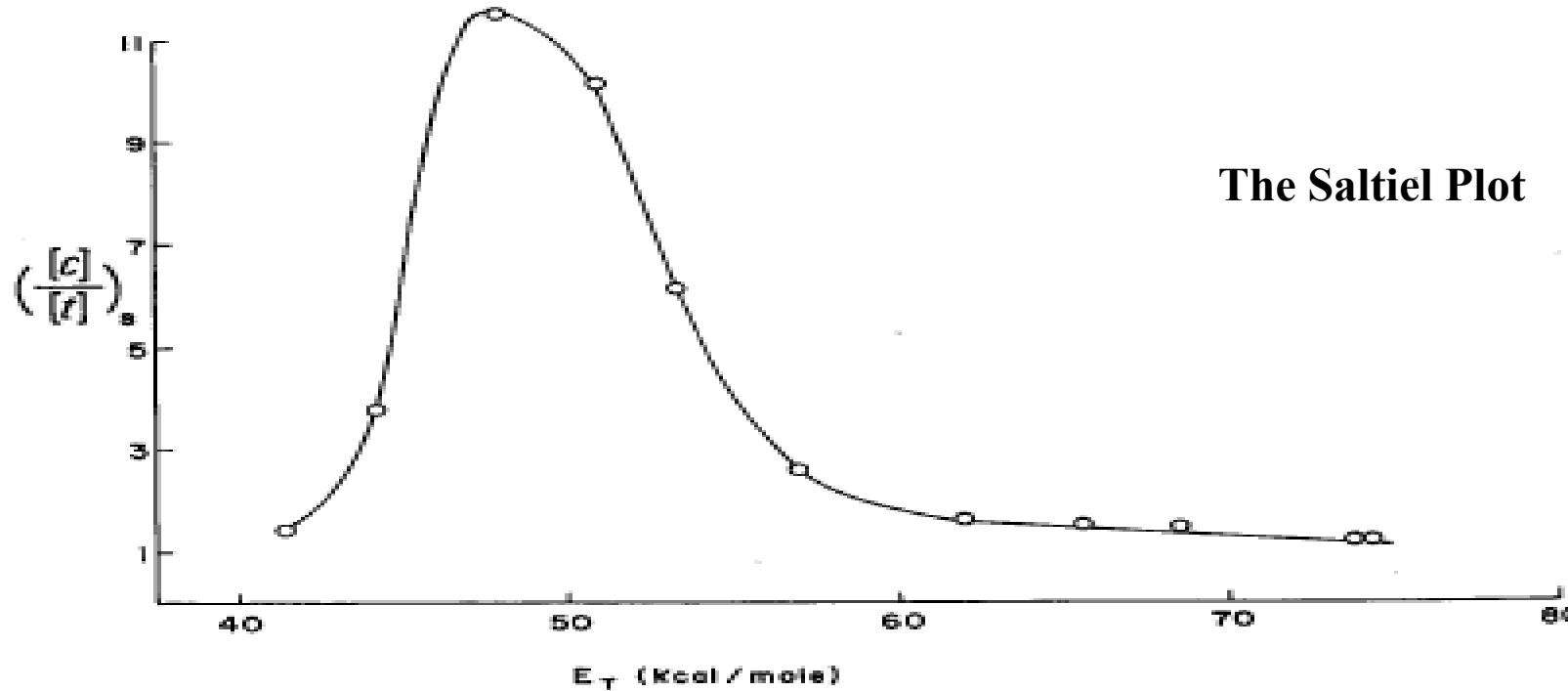


Kasha\schneider kasha model

# Triplet Sensitization

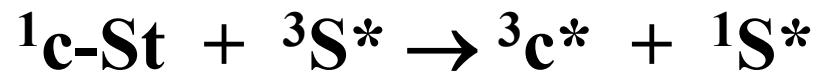
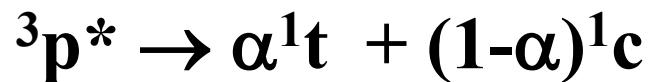
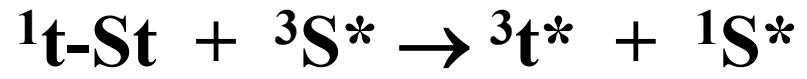


# Triplet Sensitized Stilbene Photoisomerization



Hammond, G. S.; Saltiel, J. *J. Am. Soc. Chem.* 1962-1964.

# The Photostationary State



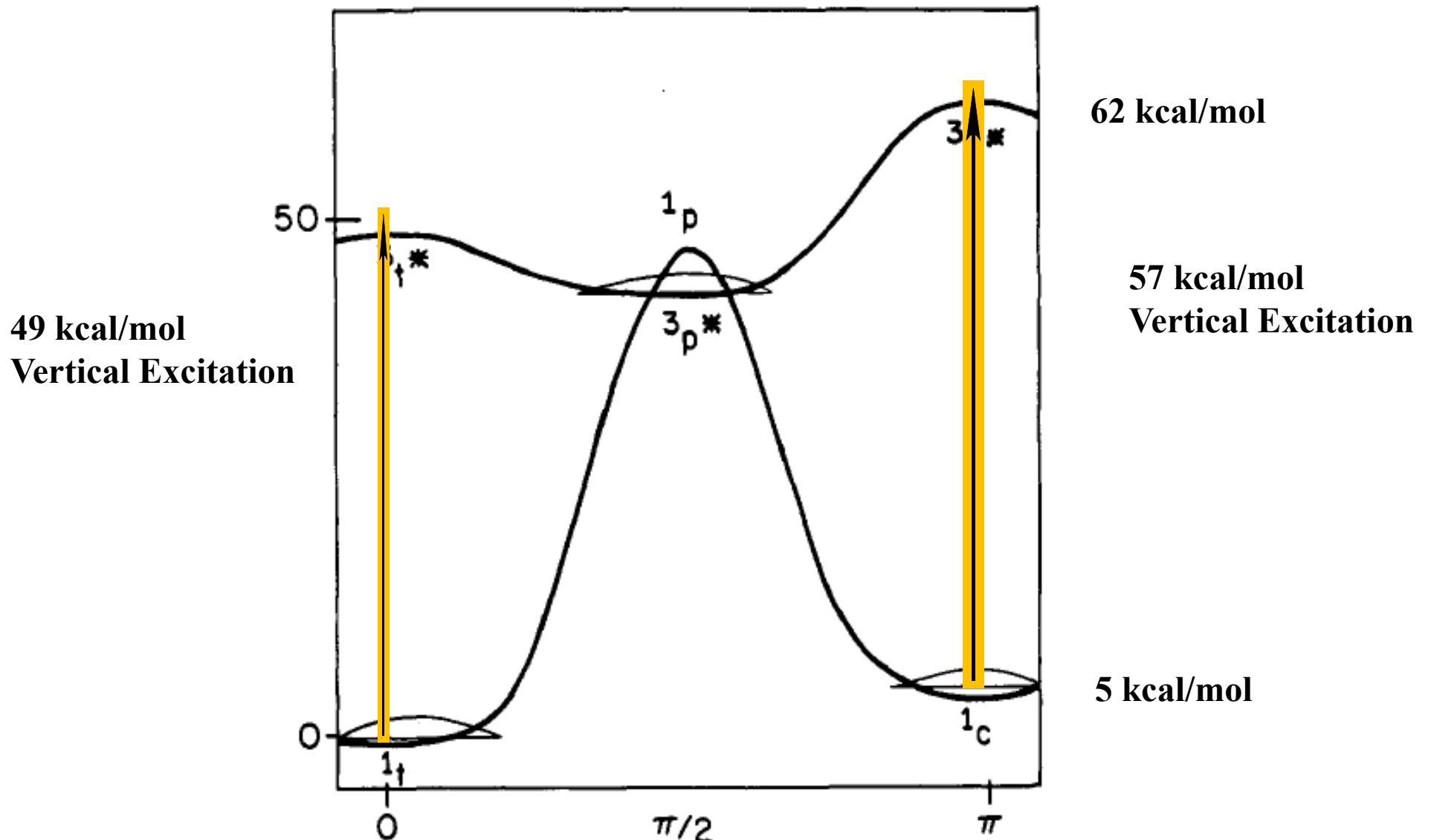
$$\frac{d[^1\text{t}]}{dt} = -k_t[^3\text{S}^*][^1\text{t}] + \alpha k_d[^1\text{p}^*] = 0$$

$$\frac{d[^1\text{c}]}{dt} = -k_c[^3\text{S}^*][^1\text{c}] + (1-\alpha)k_d[^1\text{p}^*] = 0$$

$$k_t[^3\text{S}^*][^1\text{t}] = \alpha k_d[^1\text{p}^*]$$

$$k_c[^3\text{S}^*][^1\text{c}] = (1-\alpha)k_d[^1\text{p}^*]$$

$$\left[ \frac{[^1\text{c}]}{[^1\text{t}]} \right]_{PSS} = \frac{[k_t]}{[k_c]} \frac{(1-\alpha)}{\alpha}$$



**Figure 2.** Proposed potential energy curves for twisting about the central bond of stilbene in  $S_0$  and  $T_1$ .

# Nonvertical Triplet Energy Transfer

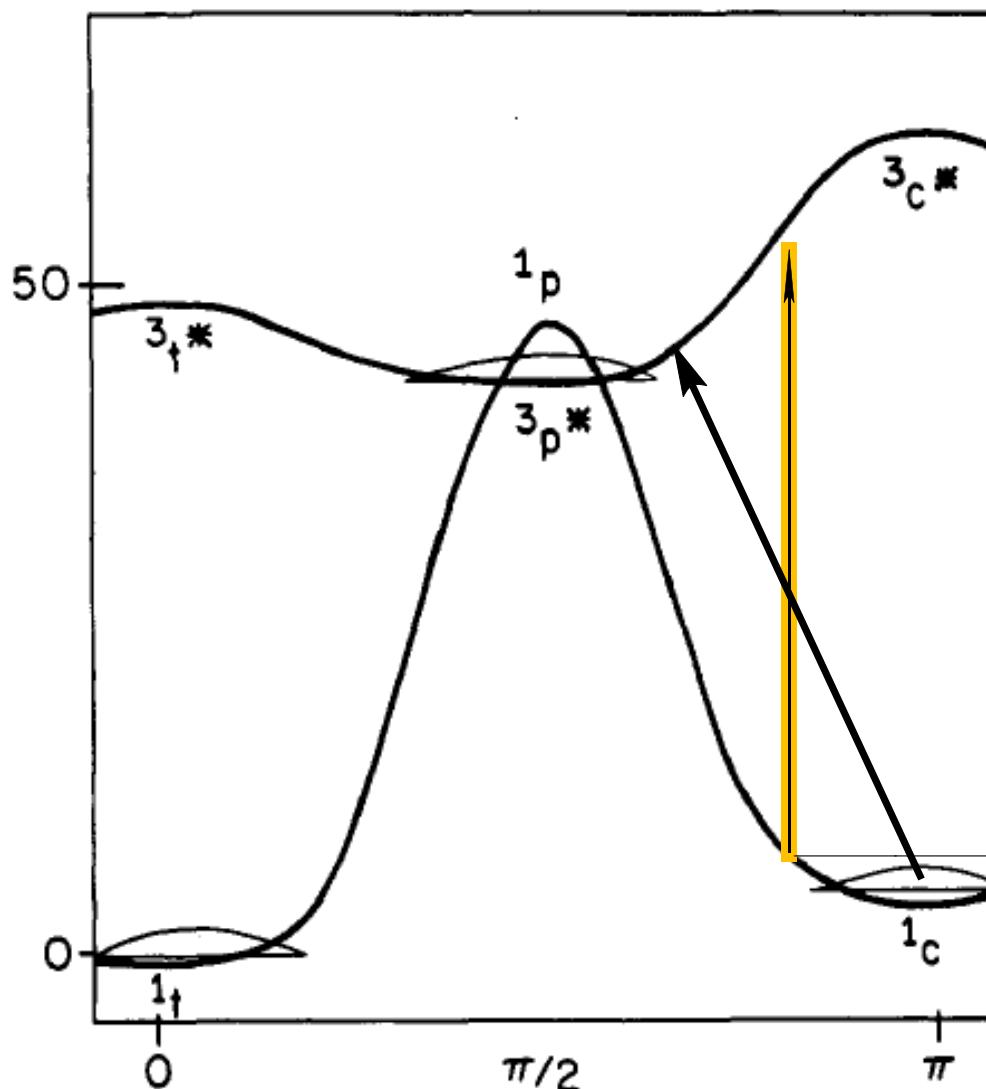
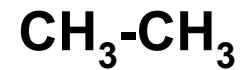
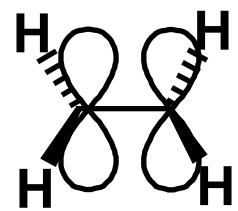
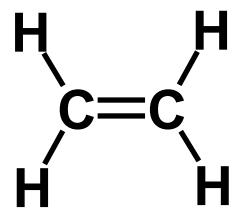
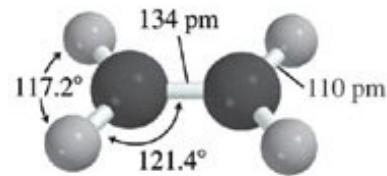


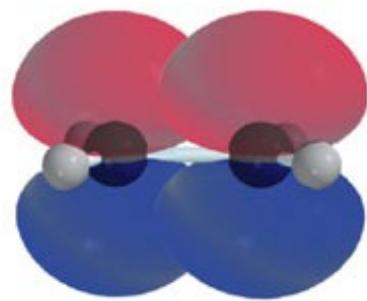
Figure 2. Proposed potential energy curves for twisting about the central bond of stilbene in  $S_0$  and  $T_1$ .



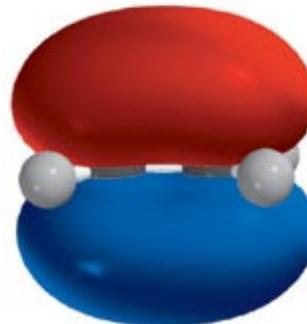
Average bond energies  
C-C 83 kcal/mol  
C=C 146 kcal/mol  
 $\pi$  bond ~ 63 kcal/mol



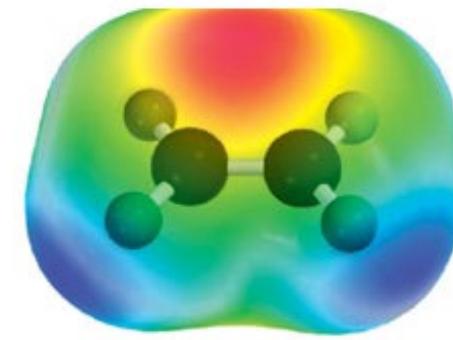
(a)



(b)

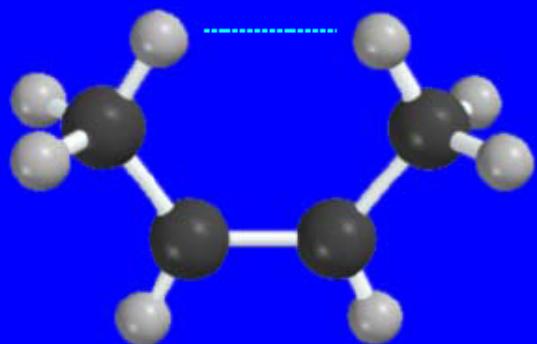


(c)



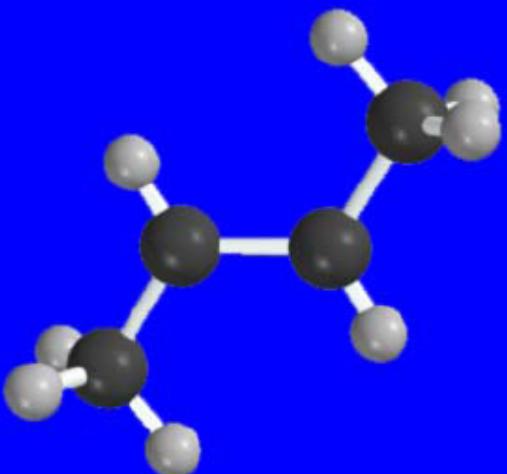
(d)

van der Waals strain  
due to crowding of  
cis-methyl groups



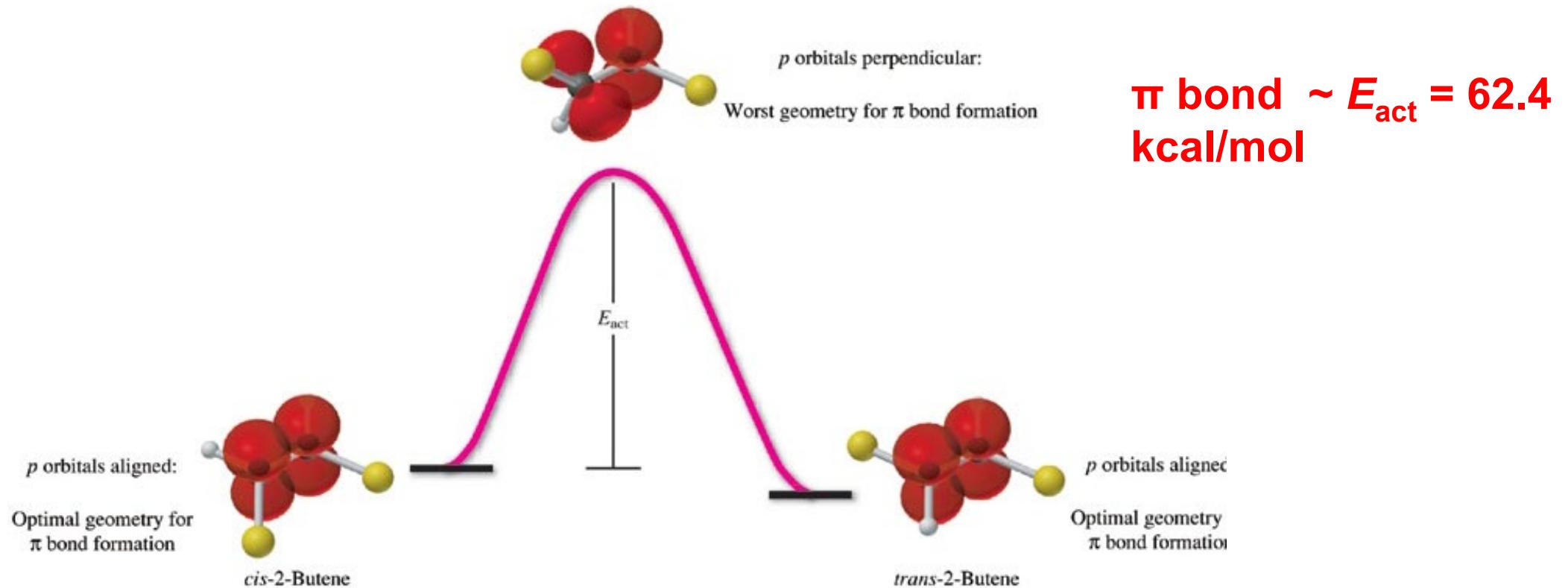
*cis*-2-butene

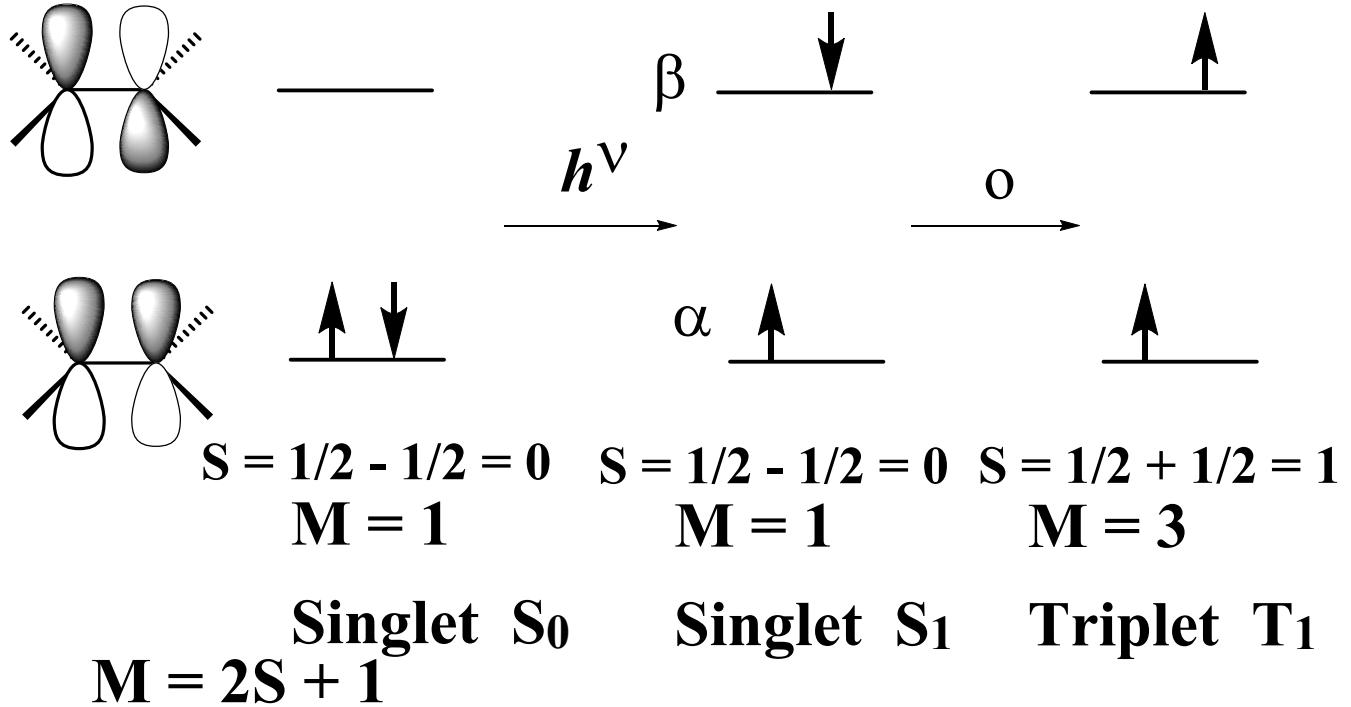
*cis and trans*-2-Butene



*trans*-2-butene

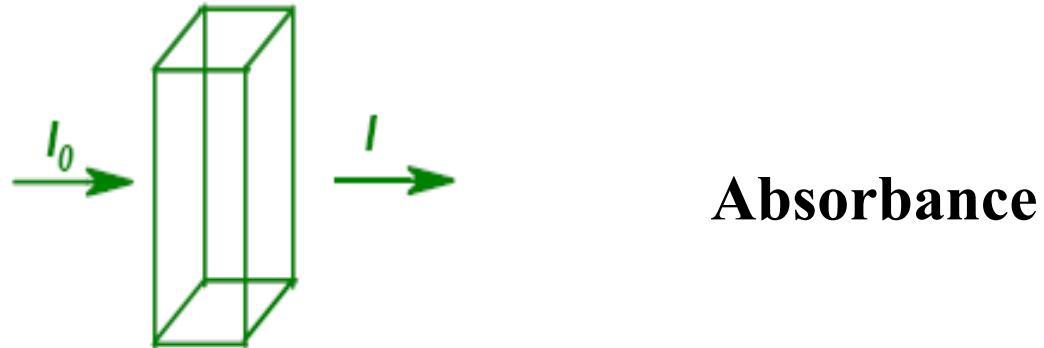
*cis*- and *trans*-2-butenes are stable separable isomers.  
They have the same connectivity and are stereoisomers.  
Rotation about a double bond occurs only at very high  $T$   
or following light absorption.



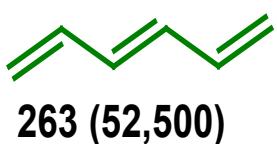


**Spin States**  $\alpha\alpha, \beta\beta, \sqrt{1/2}(\alpha\beta + \beta\alpha), \sqrt{1/2}(\alpha\beta - \beta\alpha)$

**T      T      T      S**



$$A = \log(I_0/I) = \epsilon cl$$

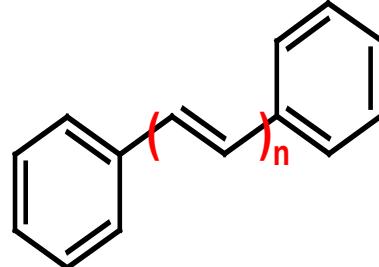


239 (3,400)



256 (8,000)

$\lambda_{\max} (\epsilon_{\max})$



n	$\lambda_{\max}$ , nm	$\epsilon_{\max} \times 10^{-3}$ , $M^{-1}cm^{-1}$
1	294.1	28
2	328	53
3	348	80
4	404	86

## Effect of Conjugation

# Molecular Orbital Analysis of the Diels-Alder Reaction

The diene is the electron donor and the dienophile is the electron acceptor. The concerted nature of the D-A reaction can be understood by considering the interaction of the HOMO of the diene with the LUMO of the dienophile (Fukui/Kyoto, Woodward/Harvard, Hoffmann/Harvard now Cornell---Nobel prize in Chemistry)

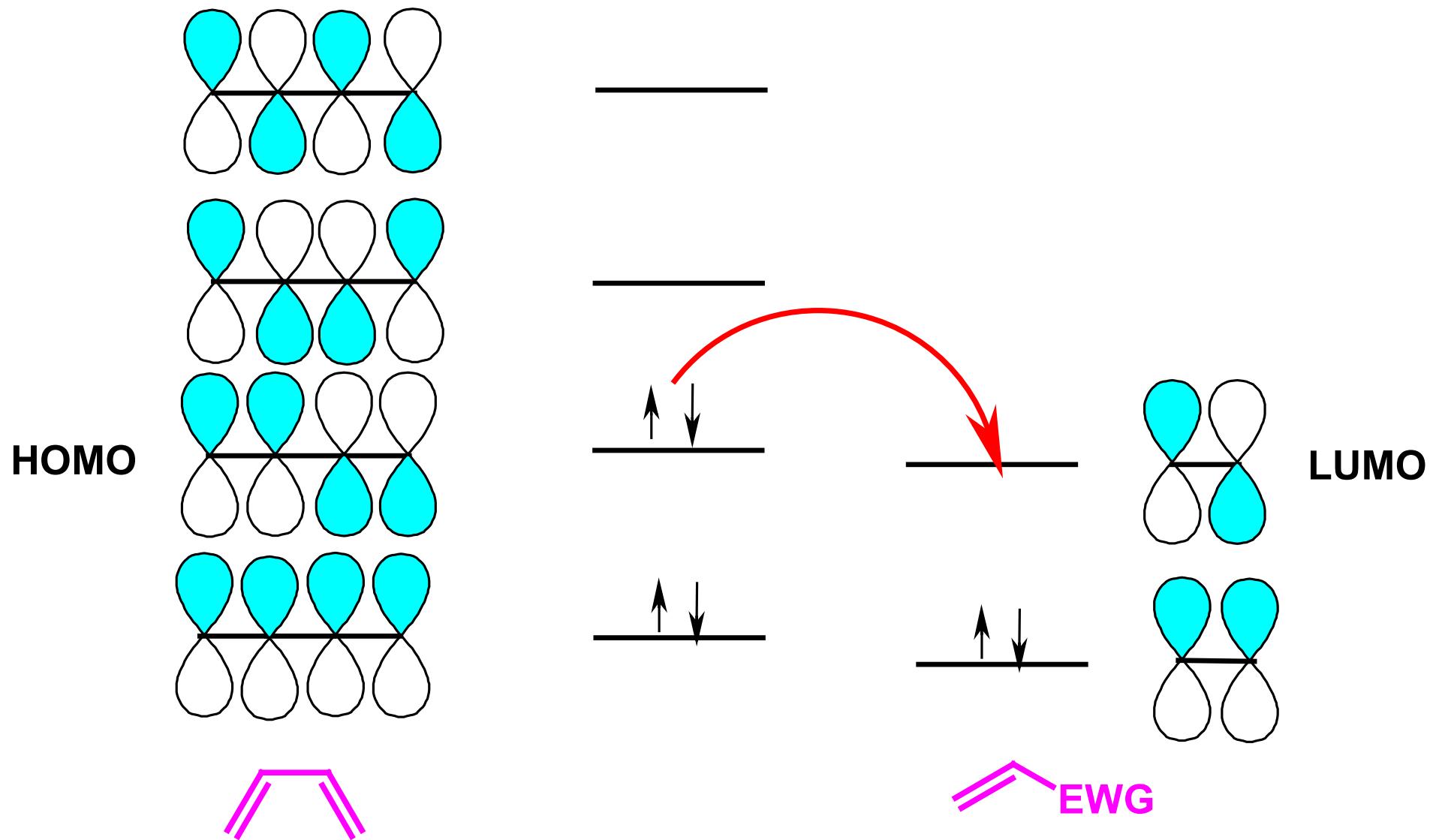


1965

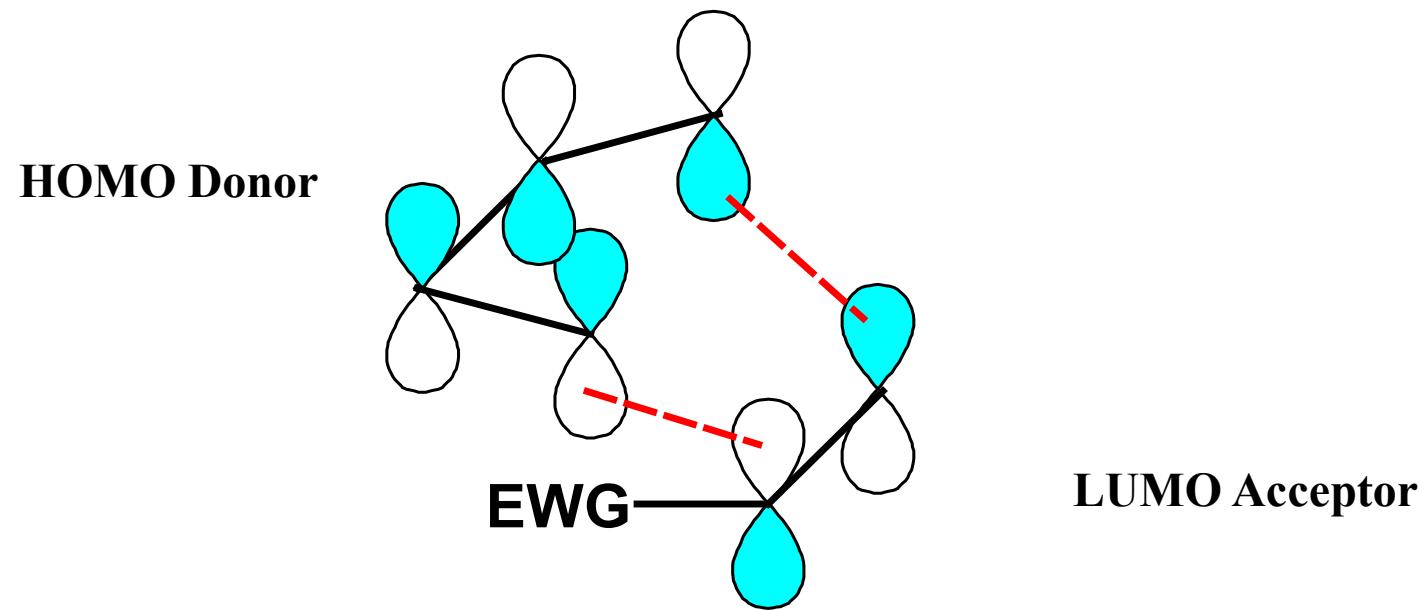


1981

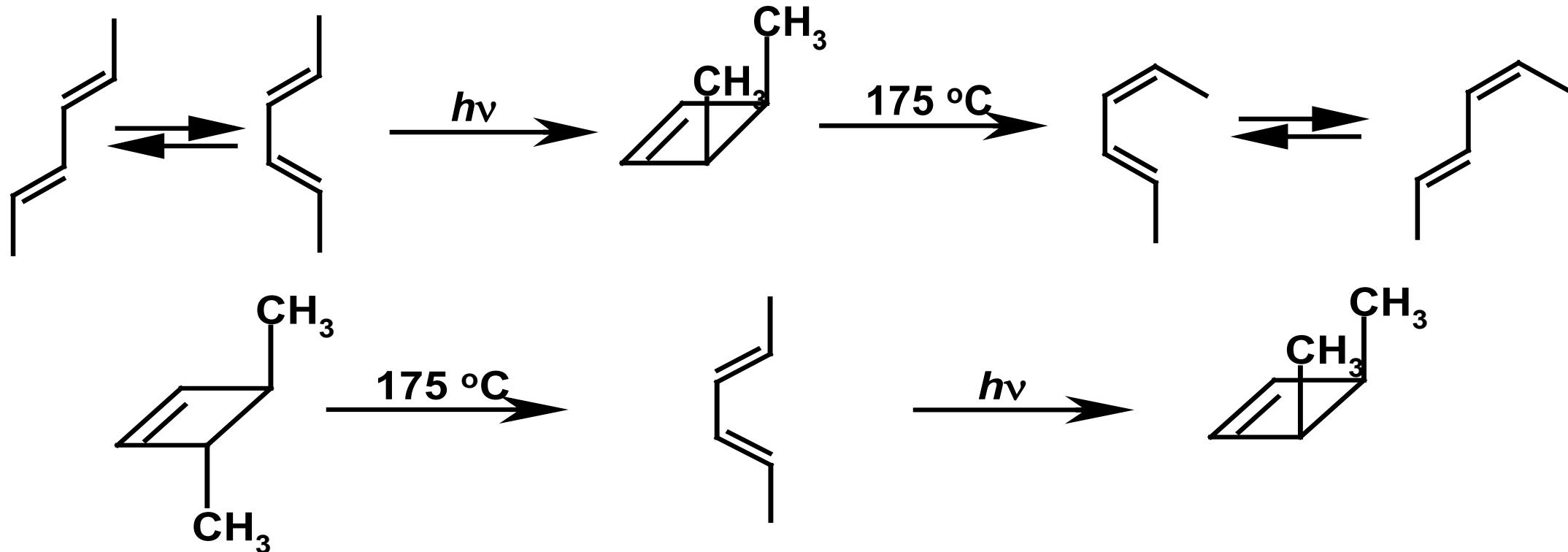




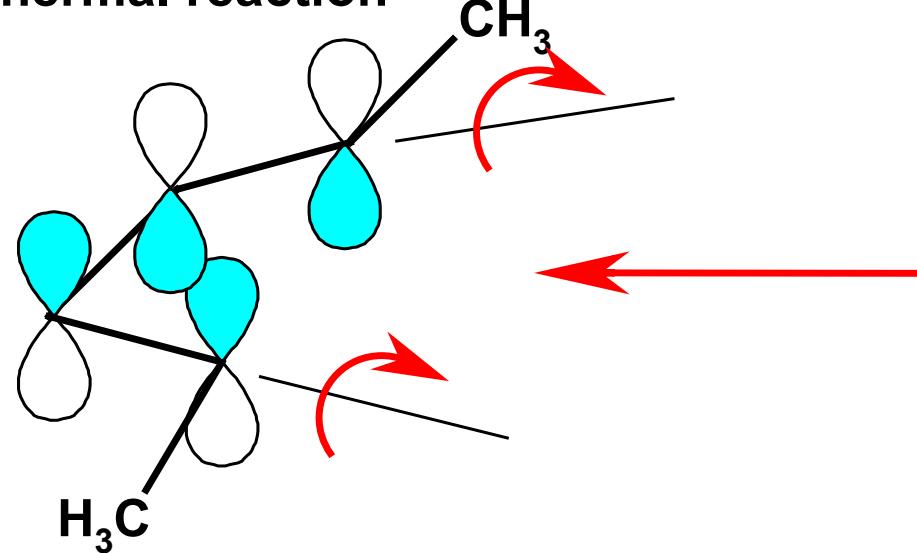
# Frontier Orbital Control of Diels-Alder Reaction



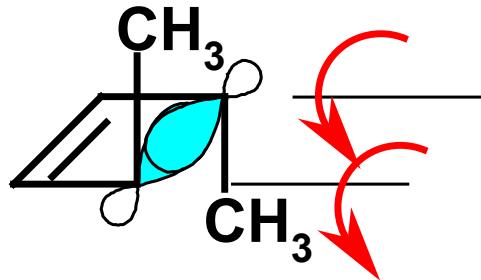
# Electrocyclic reactions are stereospecific



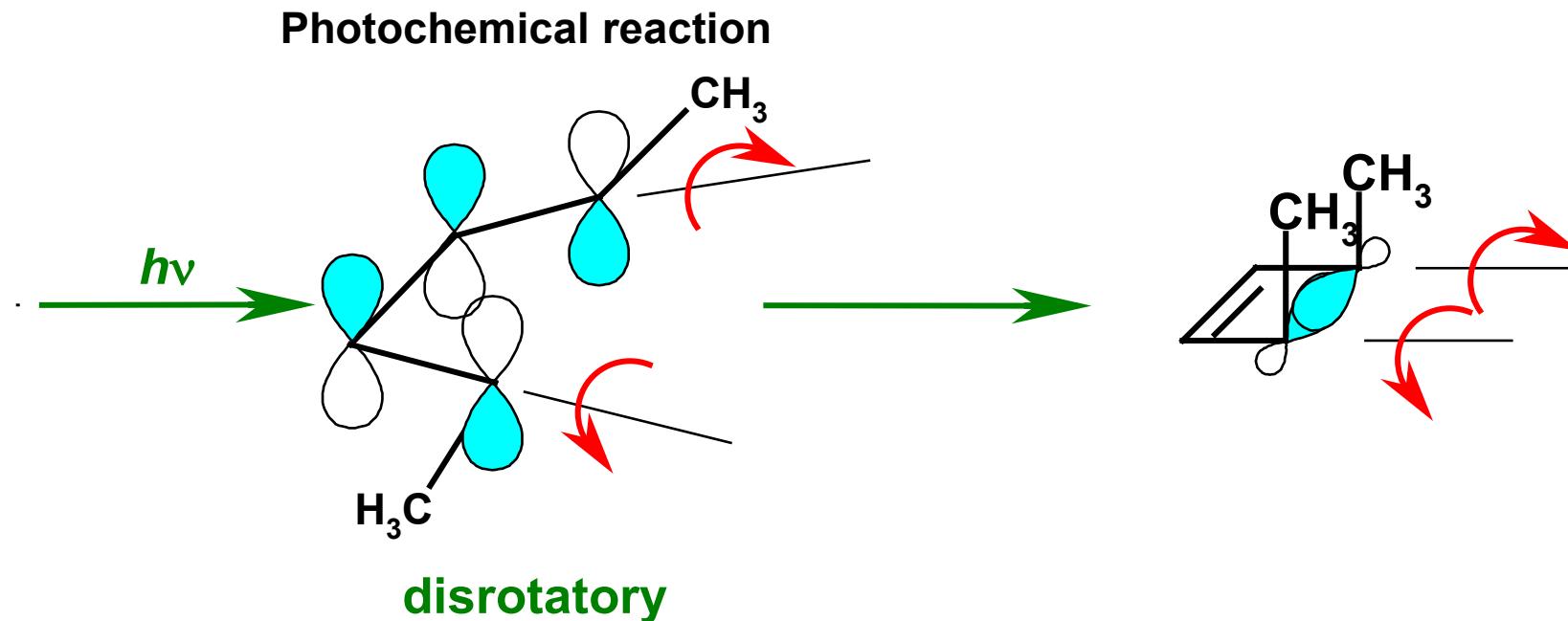
Thermal reaction



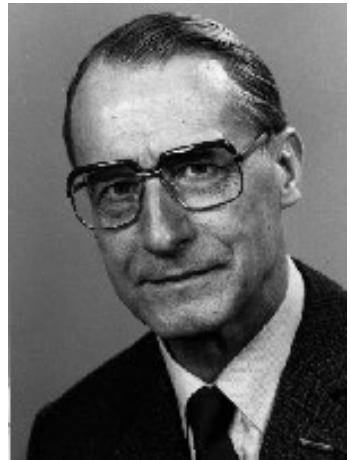
conrotatory



Rotation in the **same direction** leads to a bonding interaction (+ lobe on + lobe or - lobe on – lobe). The reaction passes through the same TS in both directions.

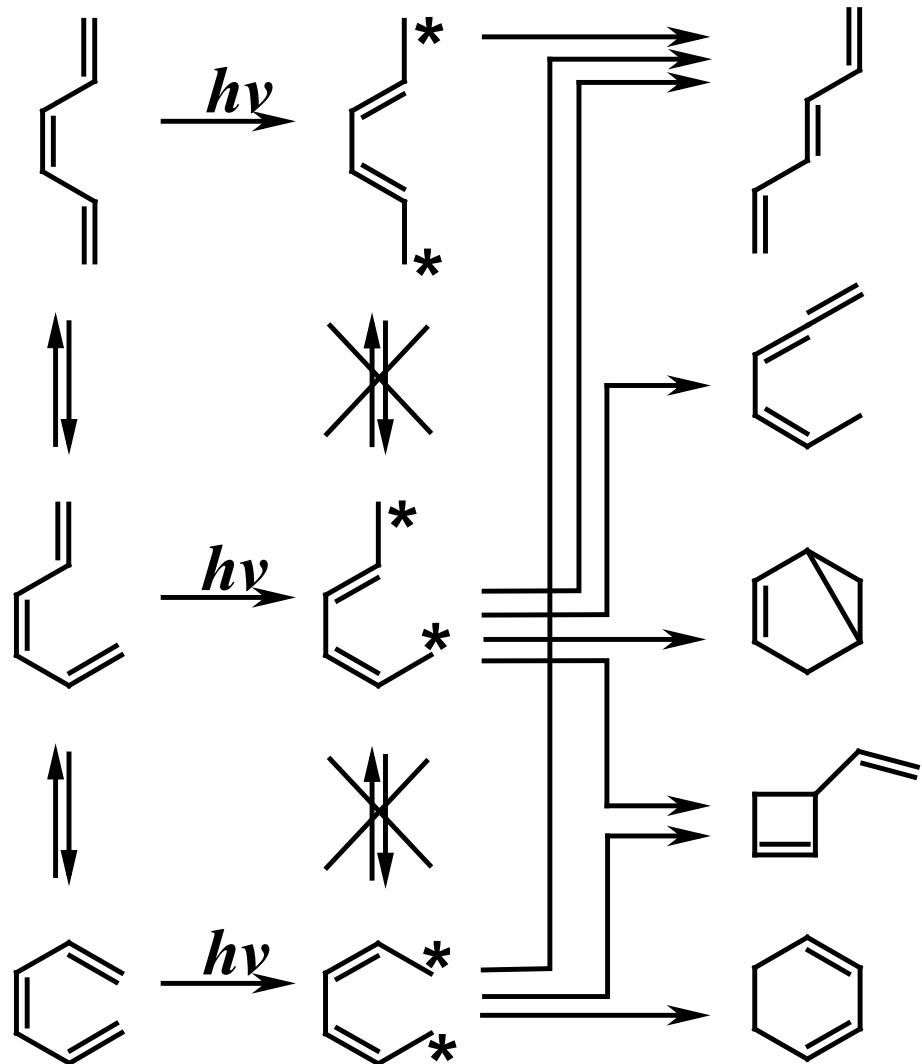


**Rotation in opposite directions leads to a bonding interaction (+ lobe on + lobe or - lobe on – lobe).**  
**The reaction passes through the same TS in both directions.**



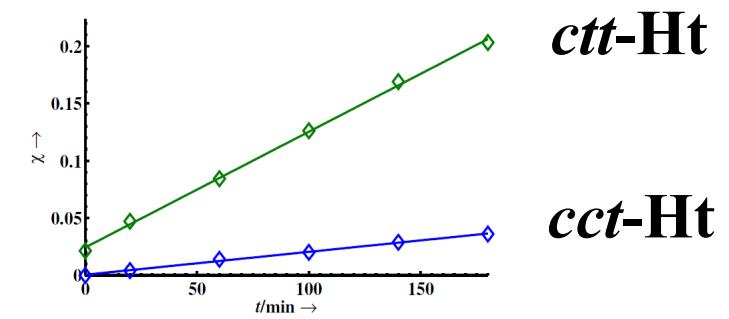
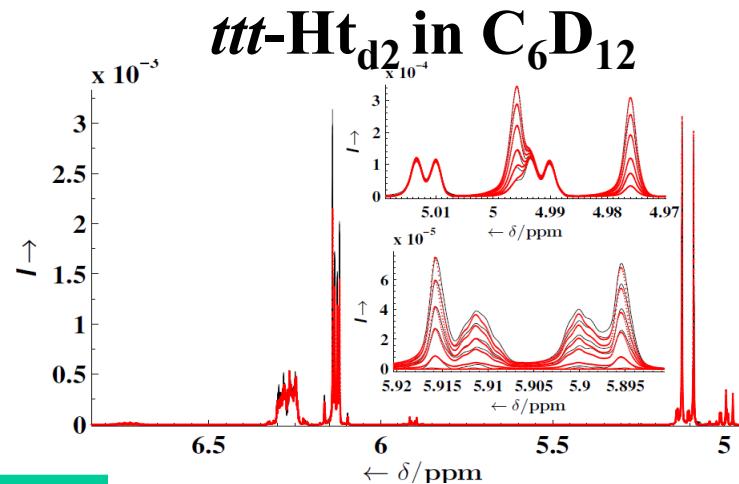
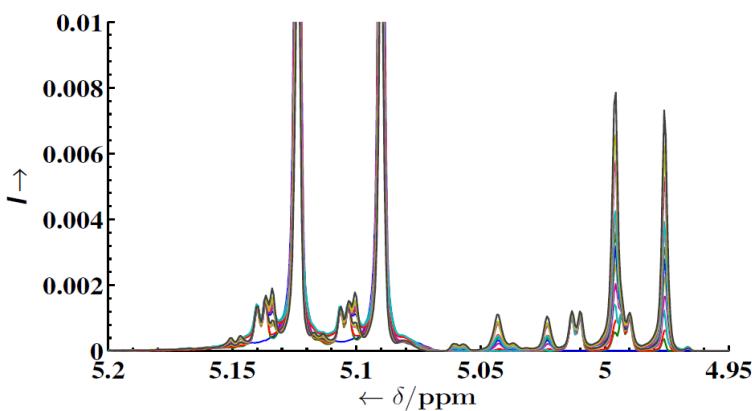
Egbert Havinga  
1909-1988

## NEER PRINCIPLE

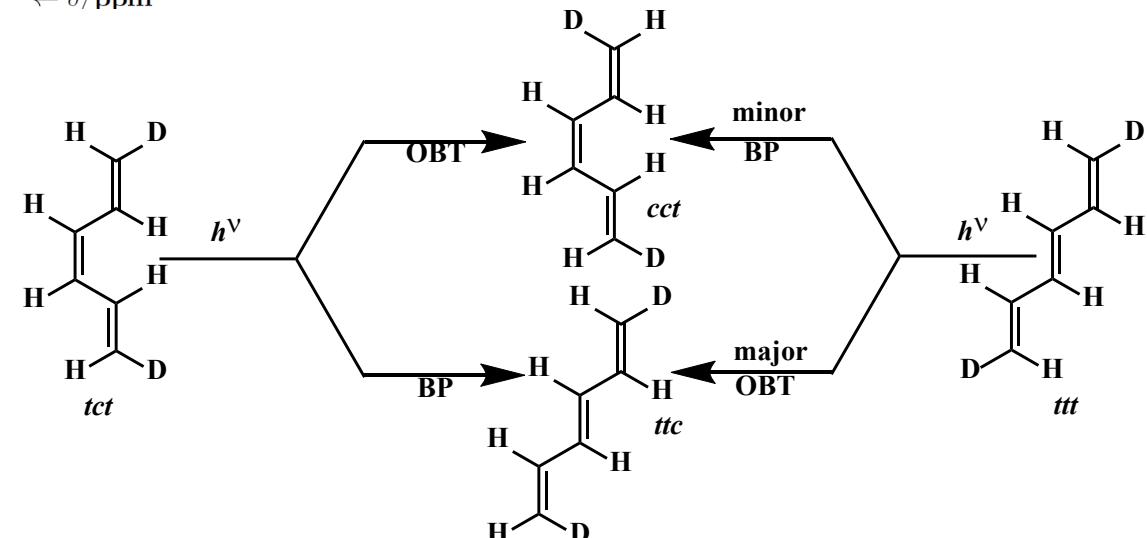


Havinga, *Tetrahedron*, 1973  
 $\phi_{ct} = 0.03, \phi_{tc} = 0.016$

# 1,6-Dideuteriohexatrienes



	$\text{C}_6\text{D}_{12}$		$\text{CD}_3\text{CN}$	
X	$\phi_{t_{ttt} \rightarrow X}$	$\phi_{t_{ctt} \rightarrow X}$	$\phi_{t_{ttt} \rightarrow X}$	$\phi_{t_{ctt} \rightarrow X}$
ctt	0.44	0.24 <sub>5</sub>	0.54	0.24
cct	0.08 <sub>5</sub>	0.34	0.09 <sub>3</sub>	0.39
tct			0.01 <sub>4</sub>	
ttt		0.05 <sub>8</sub>		0.11



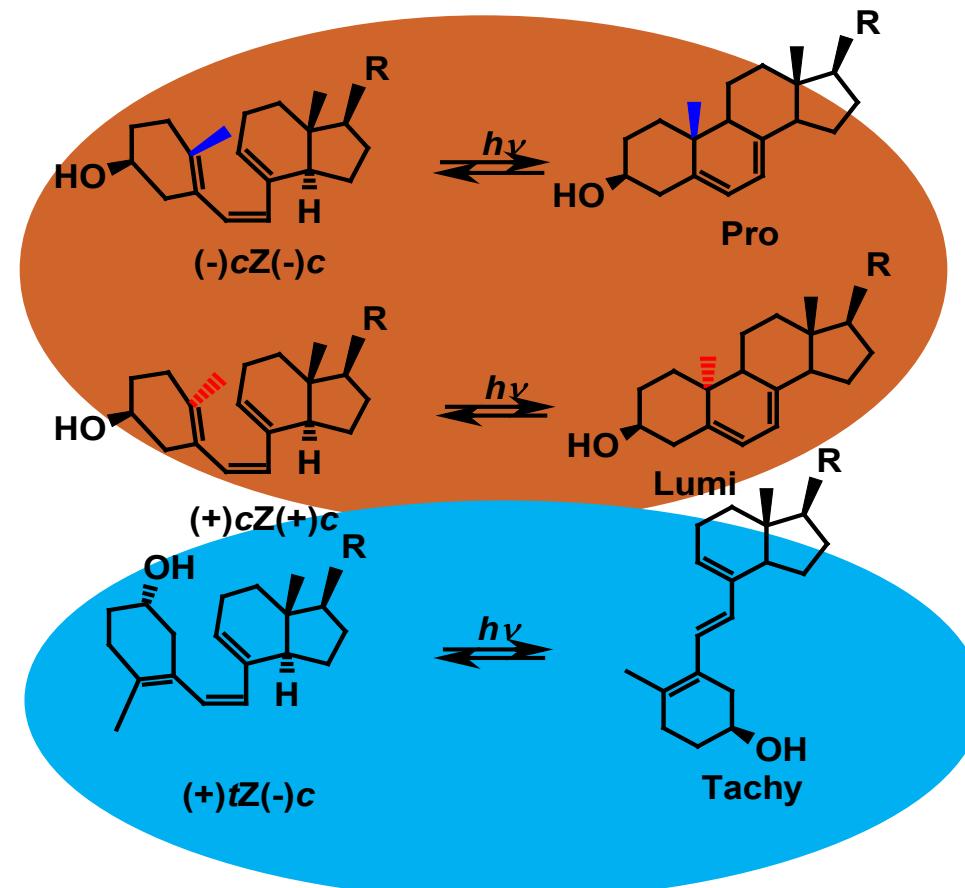


# Hovinga's NEER Principle

Egbert Hovinga  
1909-1988

Photocyclization  
Long  $\lambda$

Photoisomerization  
Short  $\lambda$





## NPE Conformers



*t*-NPE<sub>A</sub>



*t*-NPE<sub>B</sub>



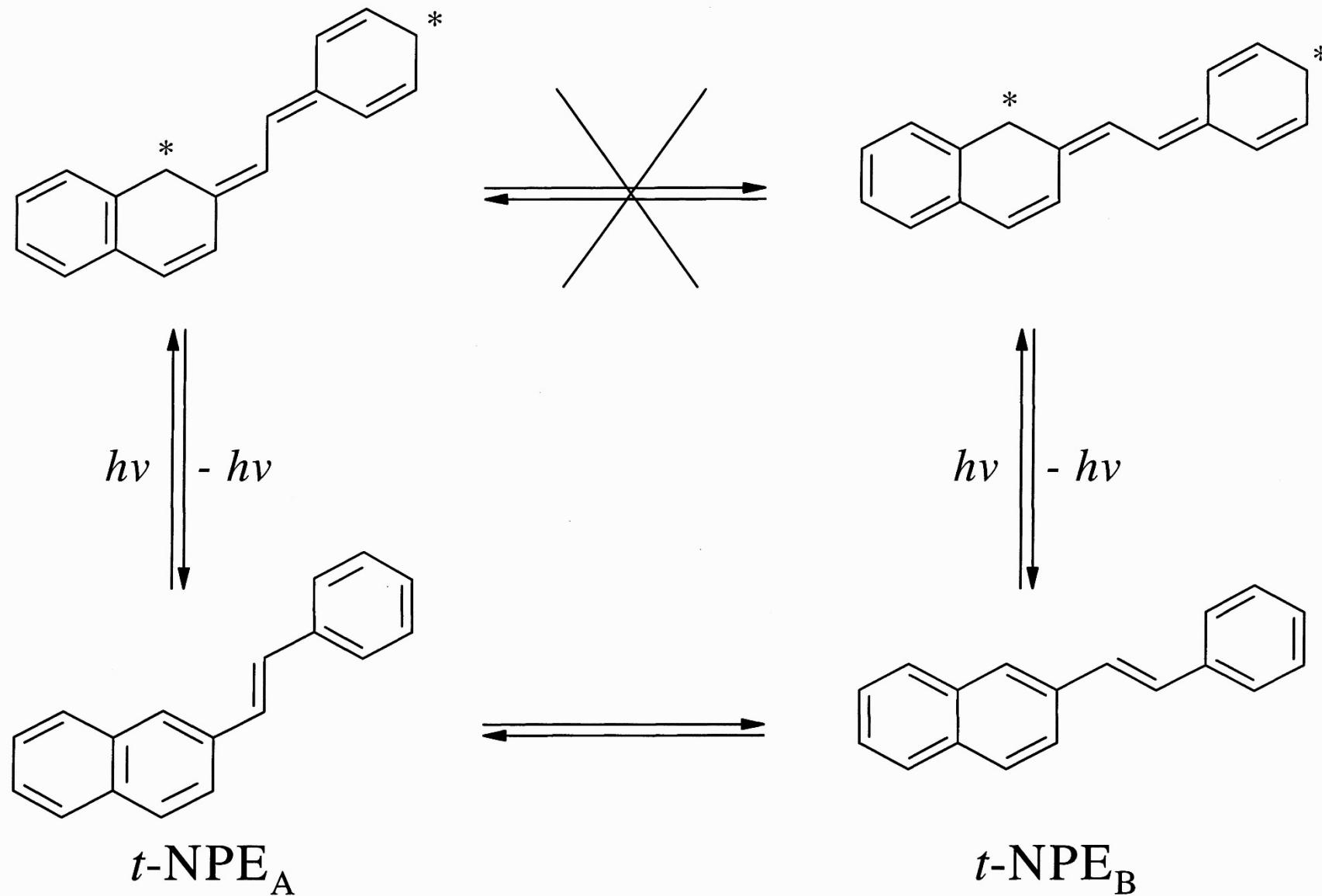
*c*-NPE<sub>A</sub>



*c*-NPE<sub>B</sub>

NPE  
conformers

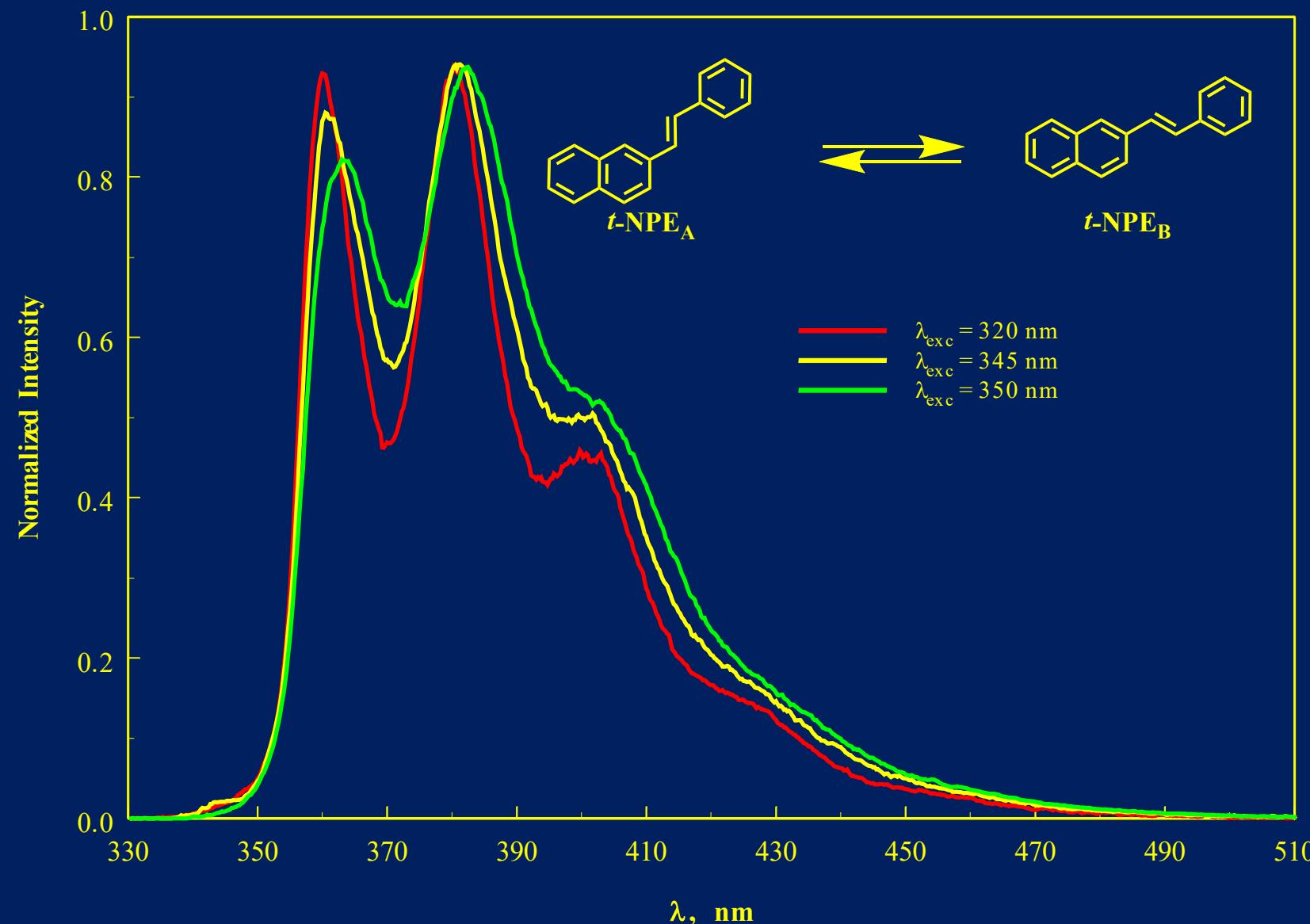
## *trans*-1-(2-Naphthyl)-2-phenylethene and the NEER Principle





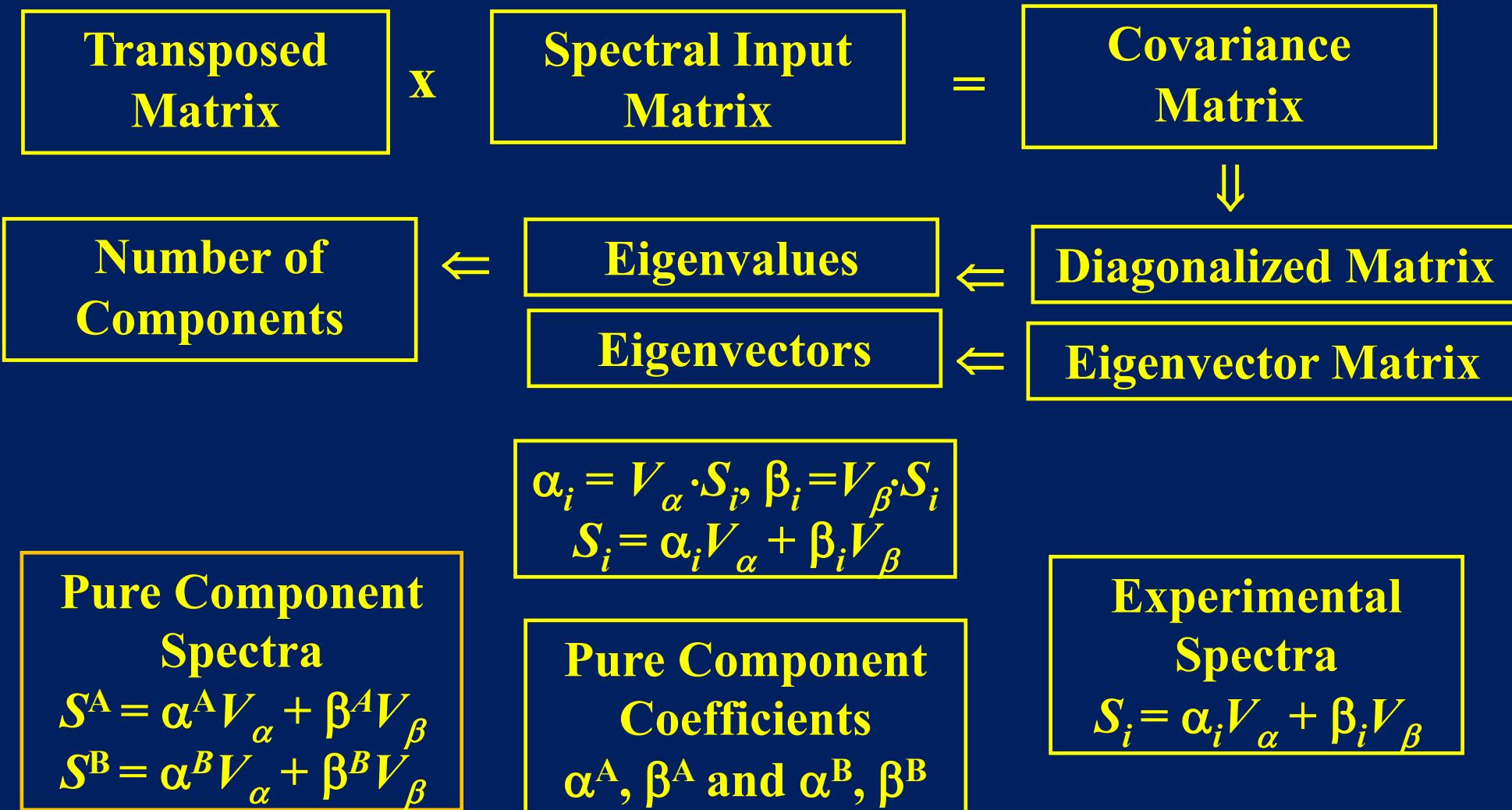
## Fluorescence Emission Spectra of *t*-NPE as a Function of $\lambda_{\text{exc}}$ in Ar Outgassed Benzene at 20 °C

*t*-NPE/Bz





## Summary of PCA-SM Mathematical Operations



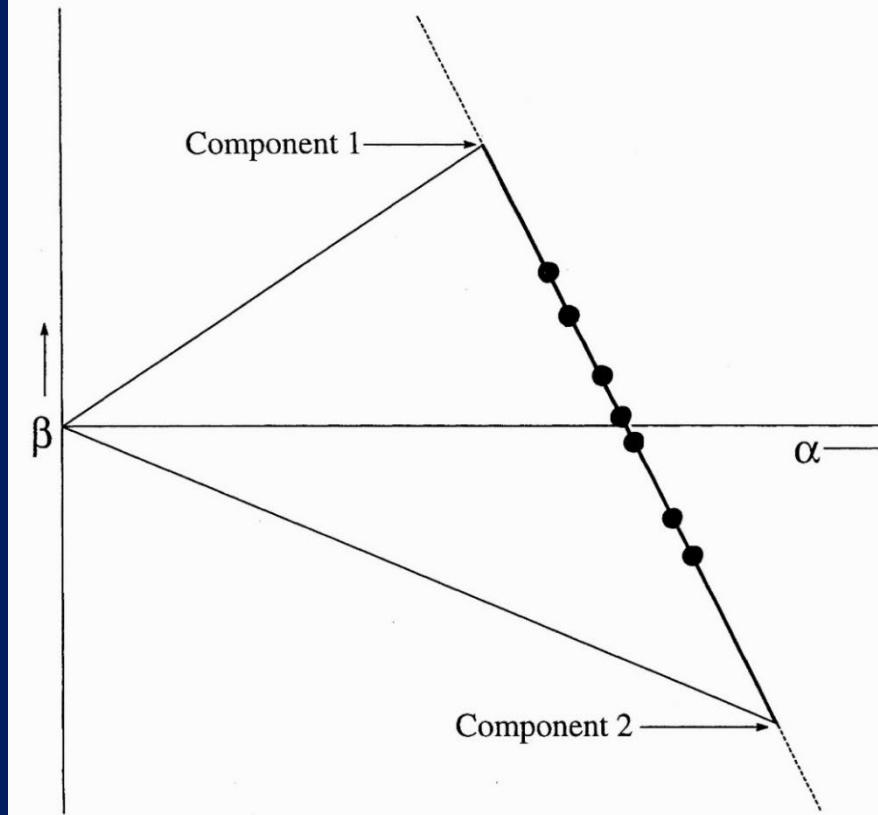


## TWO COMPONENTS

$$S_i = \alpha_i V_\alpha + \beta_i V_\beta$$

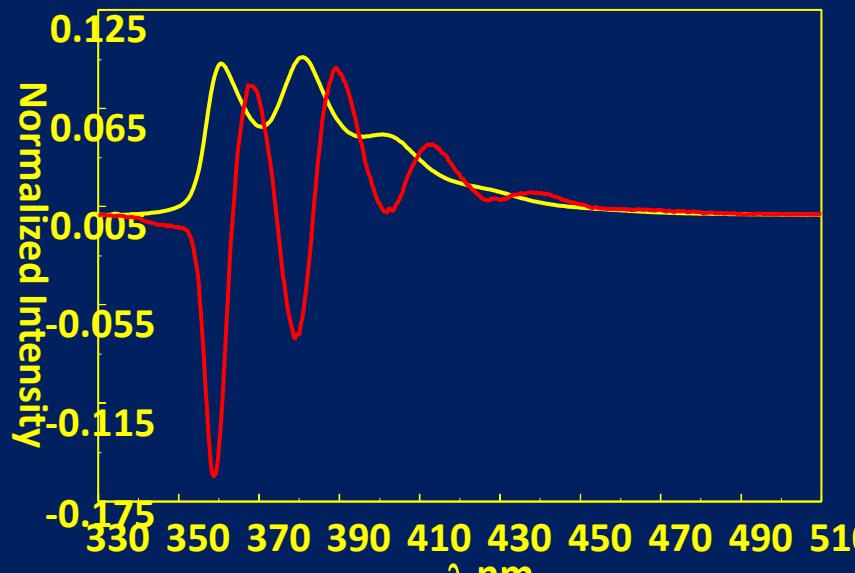
$$\alpha \sum V_{\alpha i} + \beta \sum V_{\beta k} = 1$$

$$\alpha V_{\alpha k} + \beta V_{\beta k} \geq 0$$

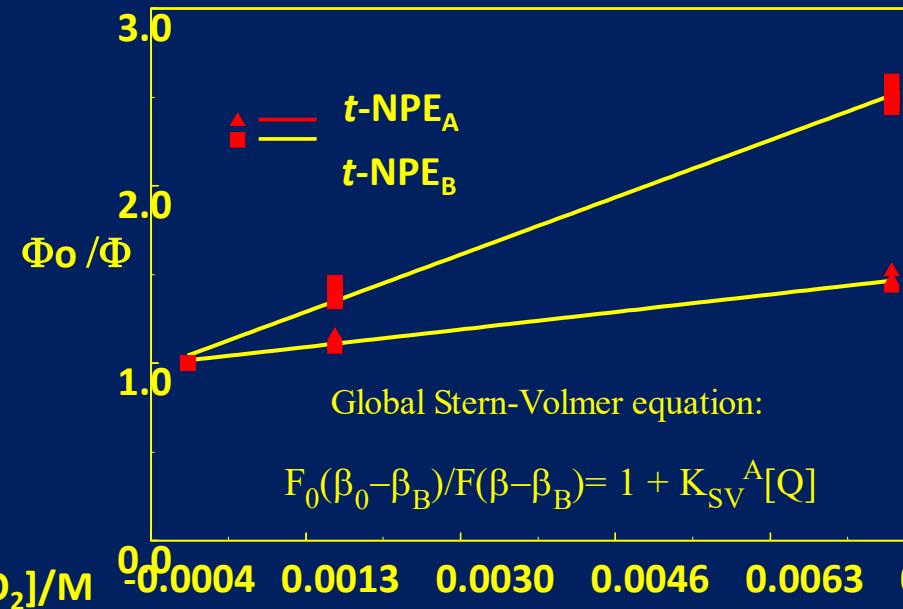




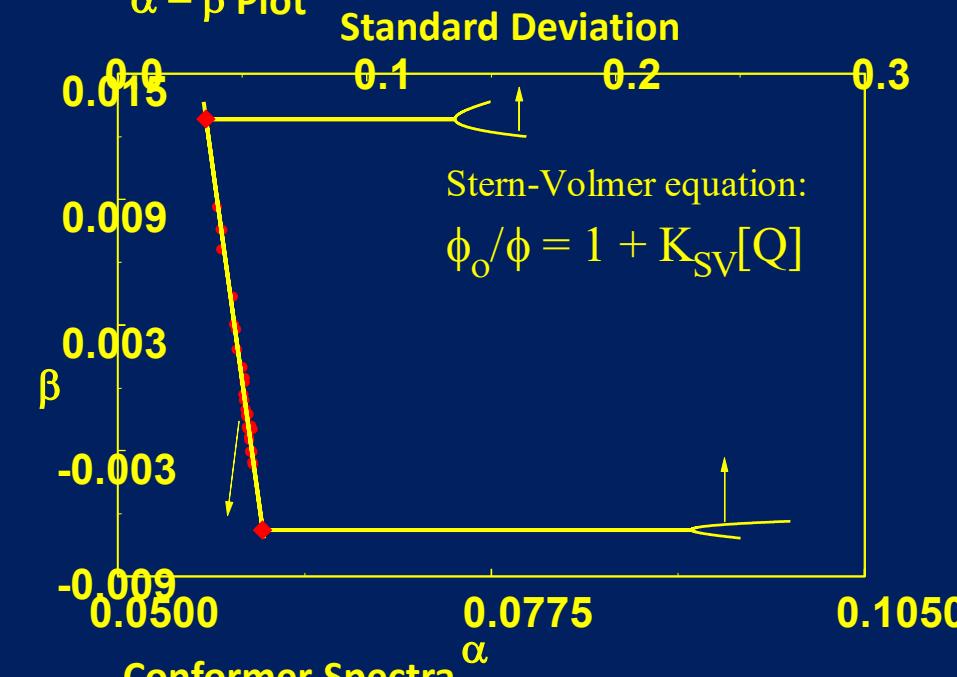
### Principal Eigenvectors



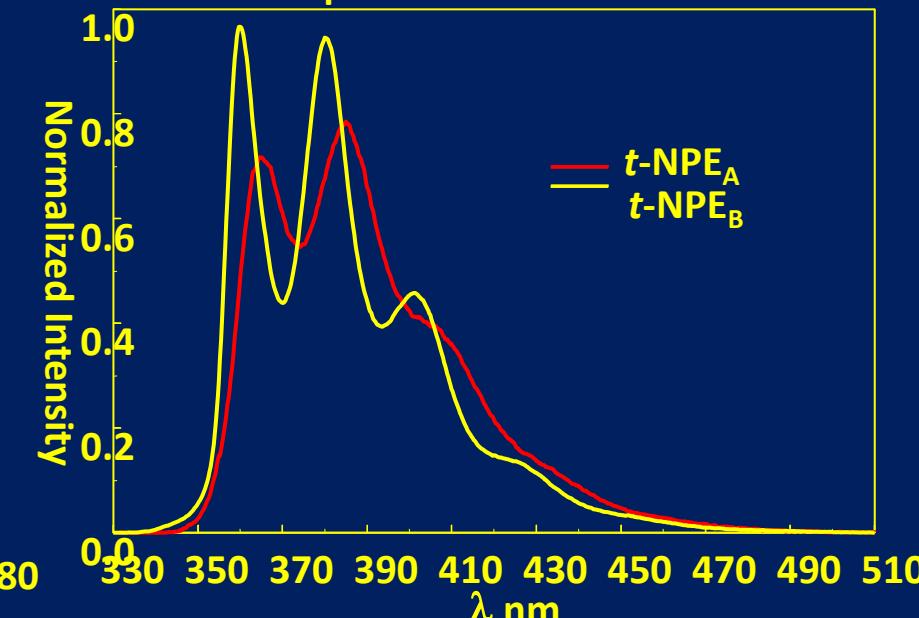
### Global Stern-Volmer Plots



### $\alpha - \beta$ Plot



### Conformer Spectra





# Stern-Volmer Quenching

Equation	Rate
${}^1\text{A} + h\nu_{\text{exc}} \rightarrow {}^1\text{A}^*$	$I_a$
${}^1\text{A}^* \rightarrow {}^1\text{A} + h\nu_f$	$k_f[{}^1\text{A}^*]$
${}^1\text{A}^* \rightarrow {}^3\text{A}^*$	$k_{\text{is}}[{}^1\text{A}^*]$
${}^1\text{A}^* \rightarrow \text{P}$	$k_p[{}^1\text{A}^*]$
${}^1\text{A}^* + \text{Q} \rightarrow {}^1\text{A} + \text{Q}^*$	$k_q[\text{Q}] [{}^1\text{A}^*]$



## The Stern-Volmer Plot

$$\frac{d[h\nu_f]}{dt} = k_f [{}^1A^*]$$

$$\phi_f^o = \frac{1}{I_a} \frac{d[h\nu_f]}{dt} = \frac{k_f}{k_f + k_{is} + k_p} = k_f \tau$$

$$\frac{d[{}^1A^*]}{dt} = I_a - (k_f + k_{is} + k_p)[{}^1A^*] = 0$$

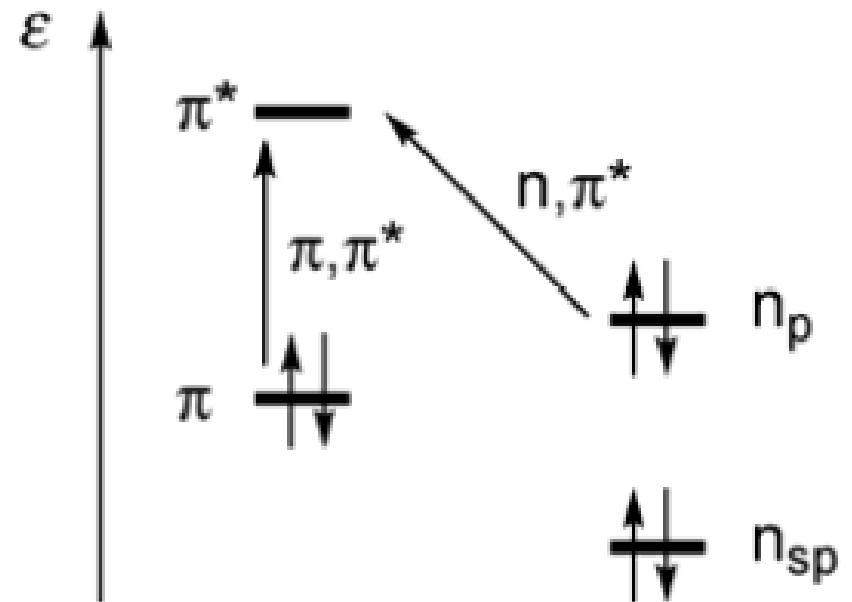
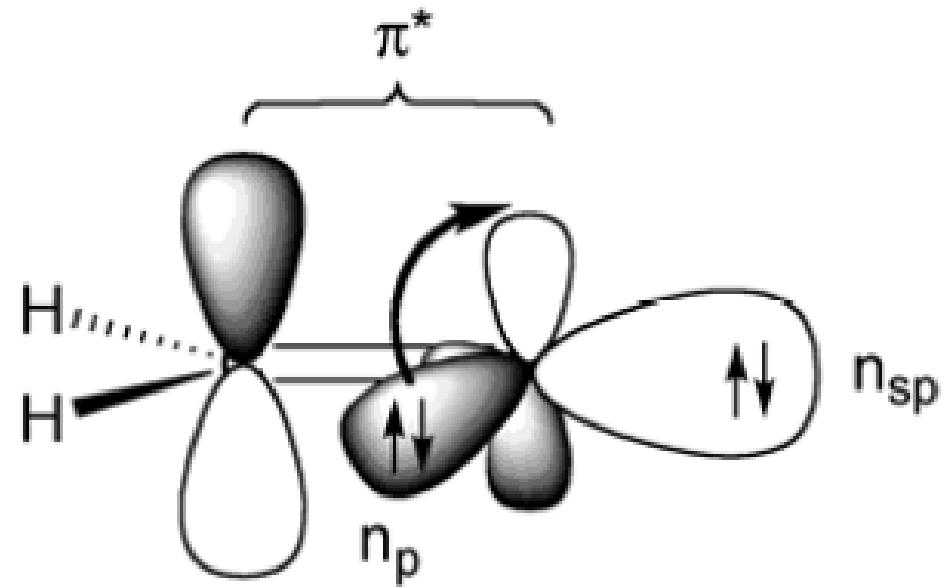
where  $I_a$  is the rate of light absorption

$$[{}^1A^*] = \frac{I_a}{k_f + k_{is} + k_p}$$

$$\phi_f = \frac{k_f}{k_f + k_{is} + k_p + k_q[Q]} = \frac{k_f \tau}{1 + k_q \tau[Q]}$$

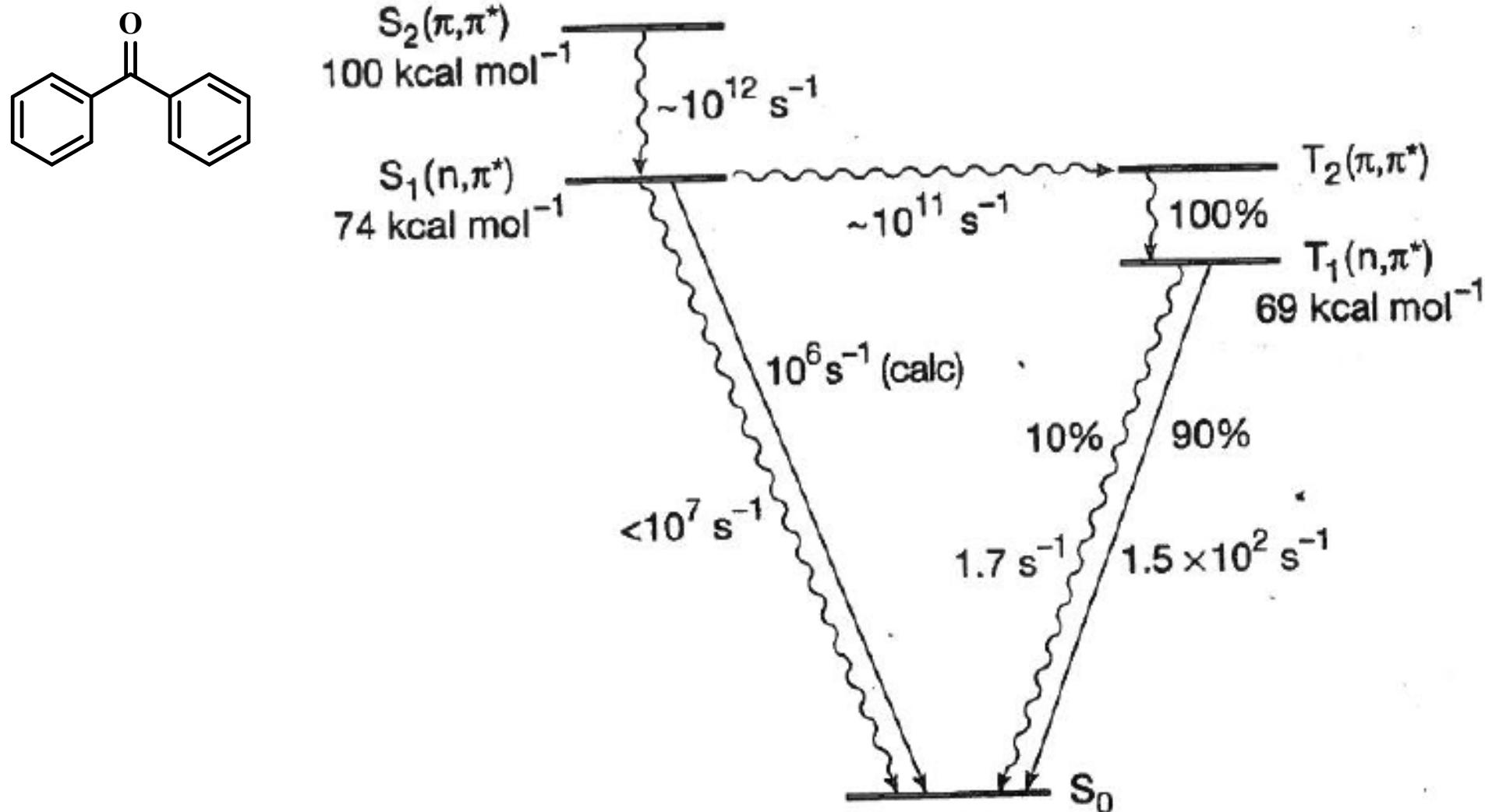
$$\frac{d[h\nu_f]}{dt} = \frac{k_f I_a}{k_f + k_{is} + k_p}$$

$$(\phi_f^o / \phi_f) = 1 + k_q \tau[Q] = 1 + K_{SV}[Q]$$

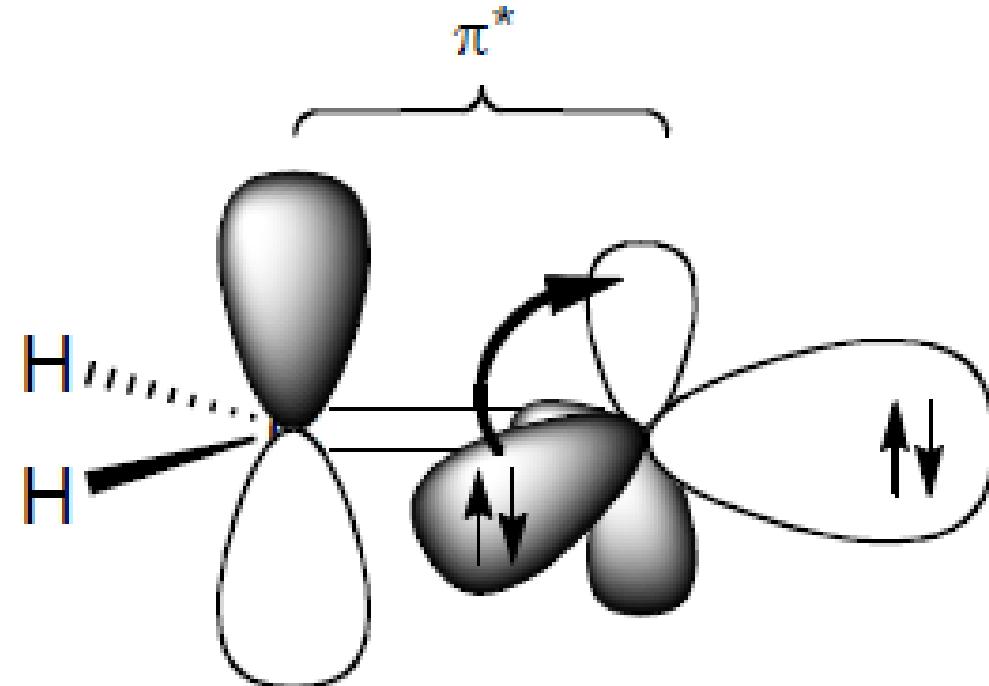
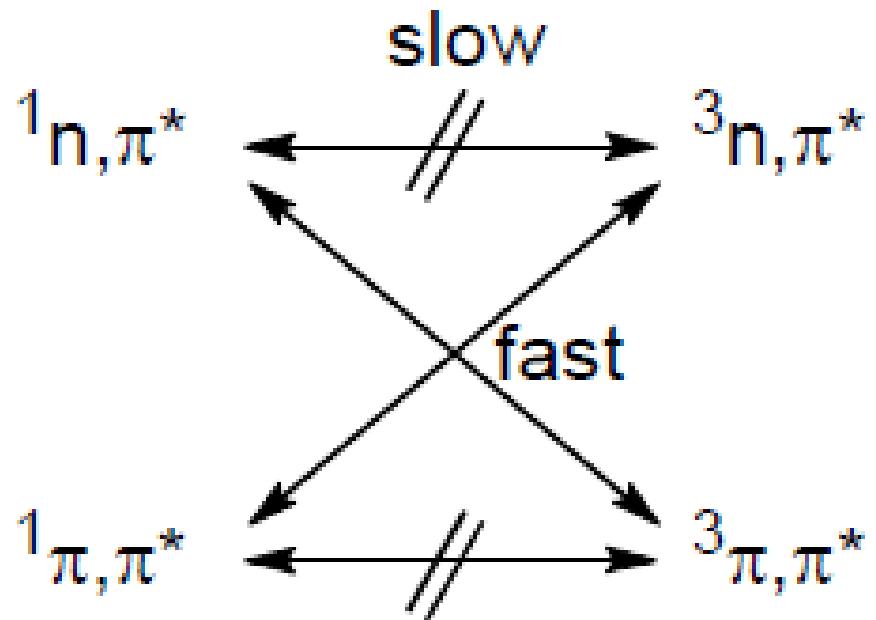


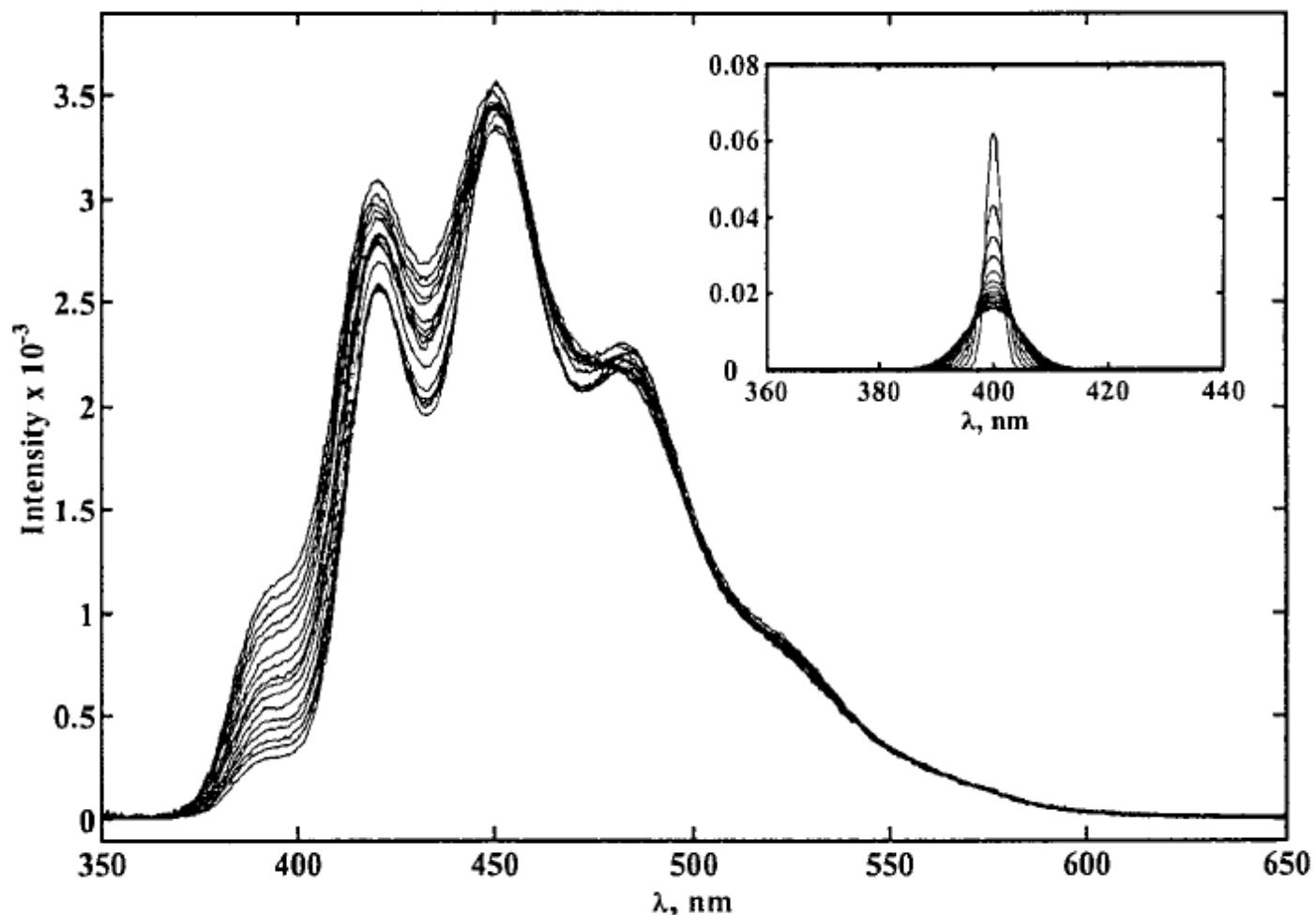
The  $n,\pi^*$ -transition of formaldehyde.

# Benzophenone State Diagram

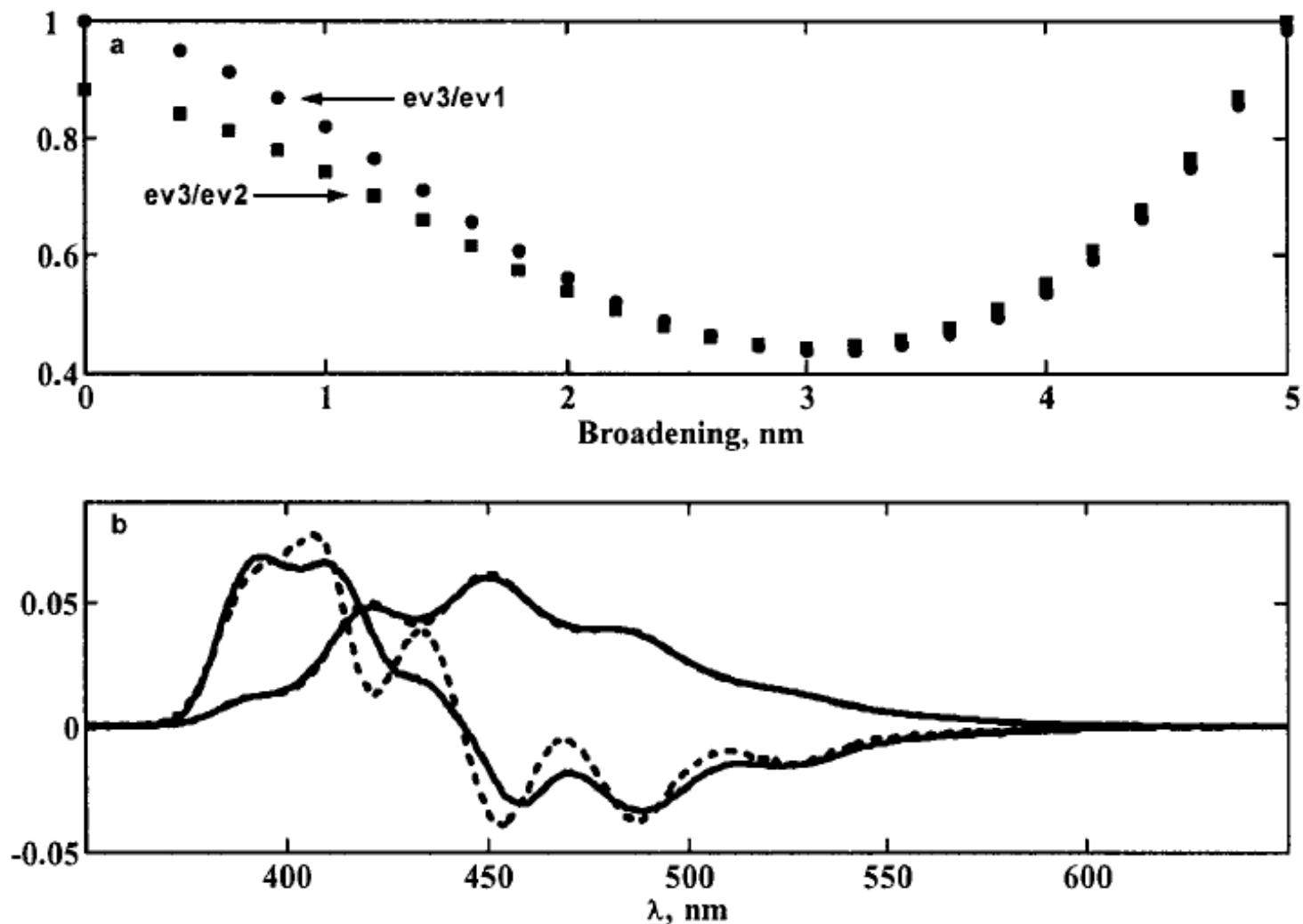


# El-Sayed's Rules for Intersystem Crossing

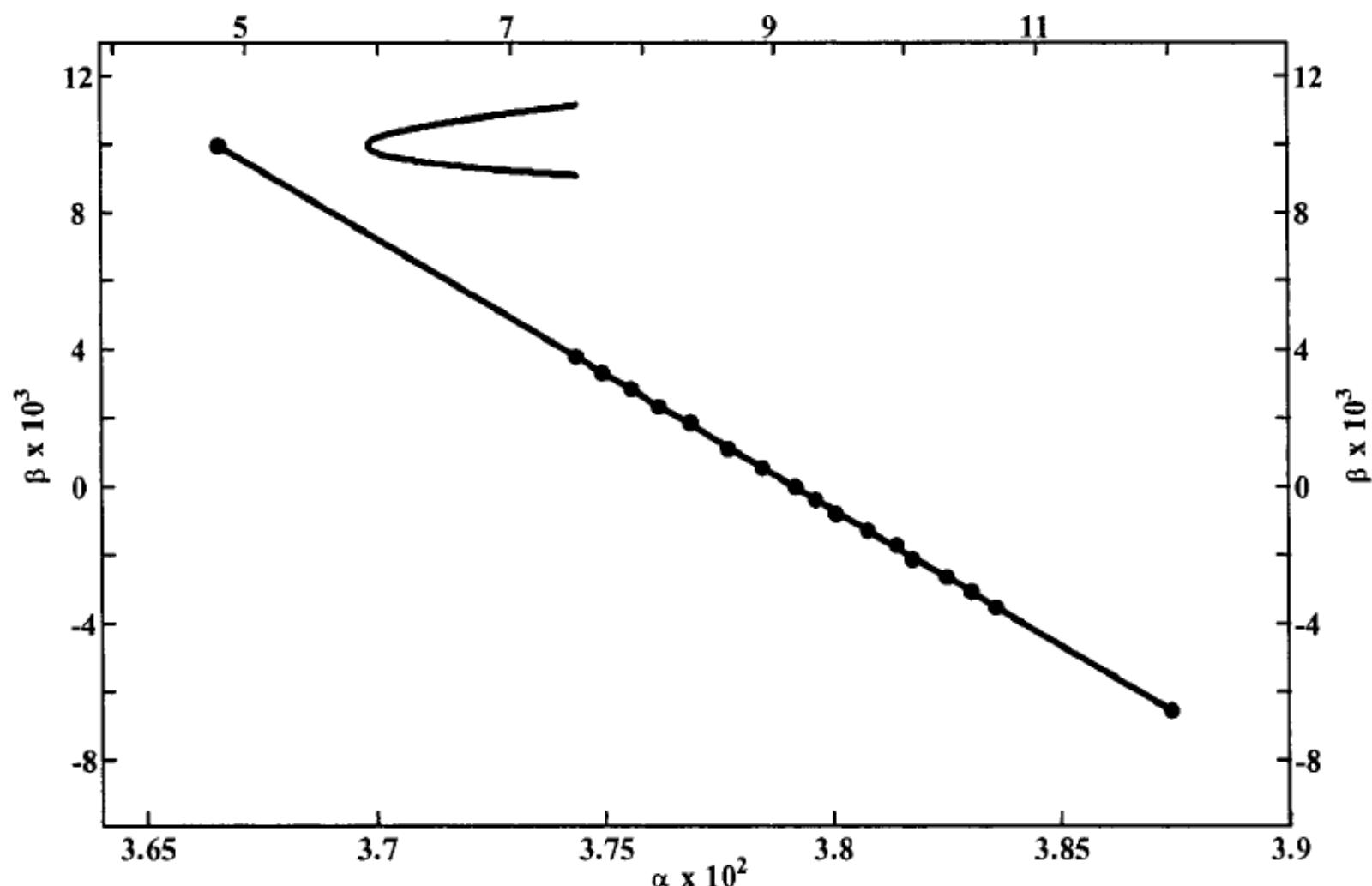




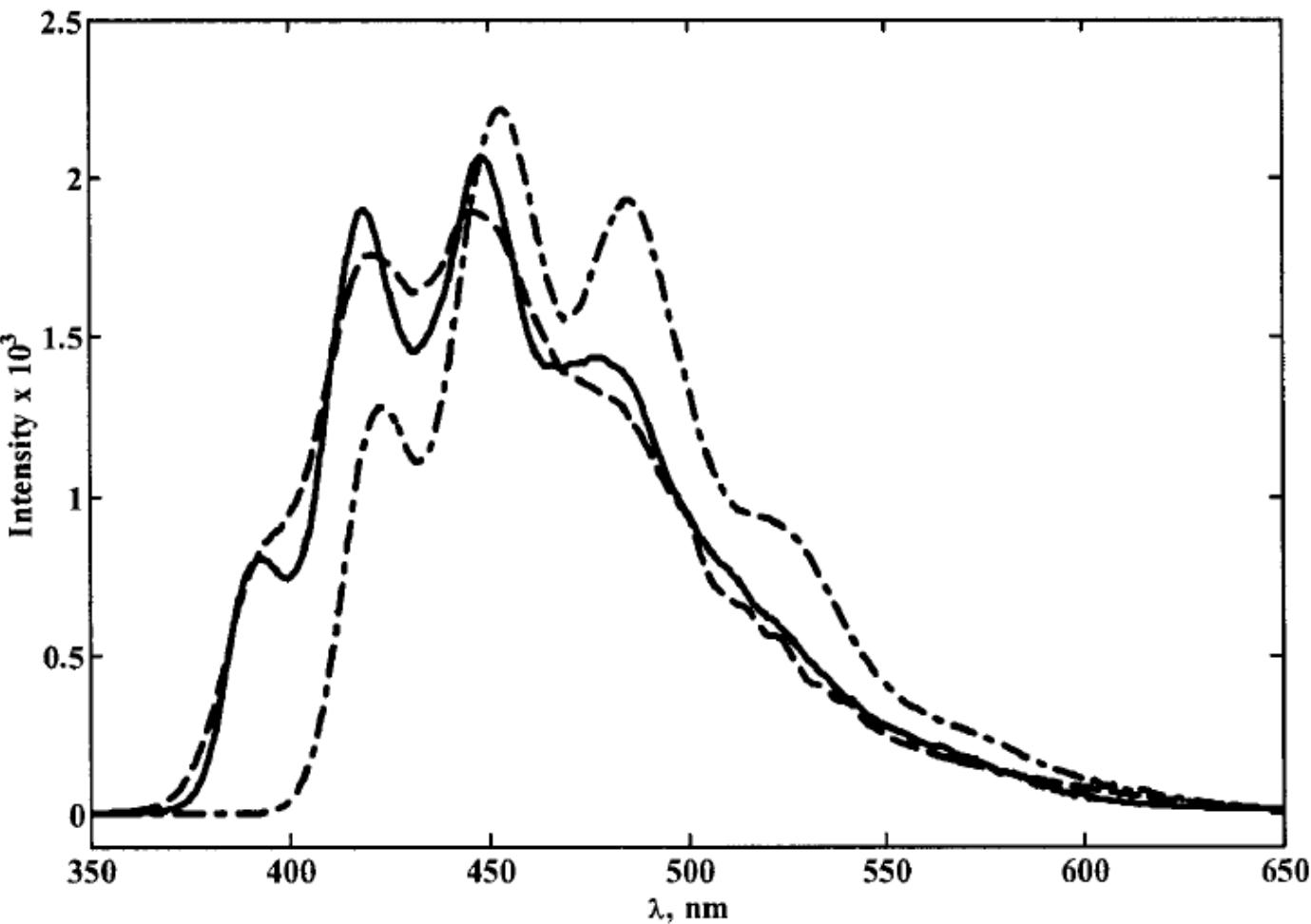
**Figure 1.** Benzophenone luminescence spectra (self-absorption-corrected, instrumental-response-uncorrected) at different temperatures (Table 1) from the degassed  $\text{CCl}_4$  solution. The inset shows the set of Gaussian functions used to compensate the spectra for thermal broadening.



**Figure 3.** (a) Ratios of the third to the first eigenvalue (circles) and the third to the second eigenvalue (squares) as a function of the compensating broadening parameter  $k$ . (b) First and second eigenvectors before the compensation for thermal broadening (dashed lines) and after the compensation

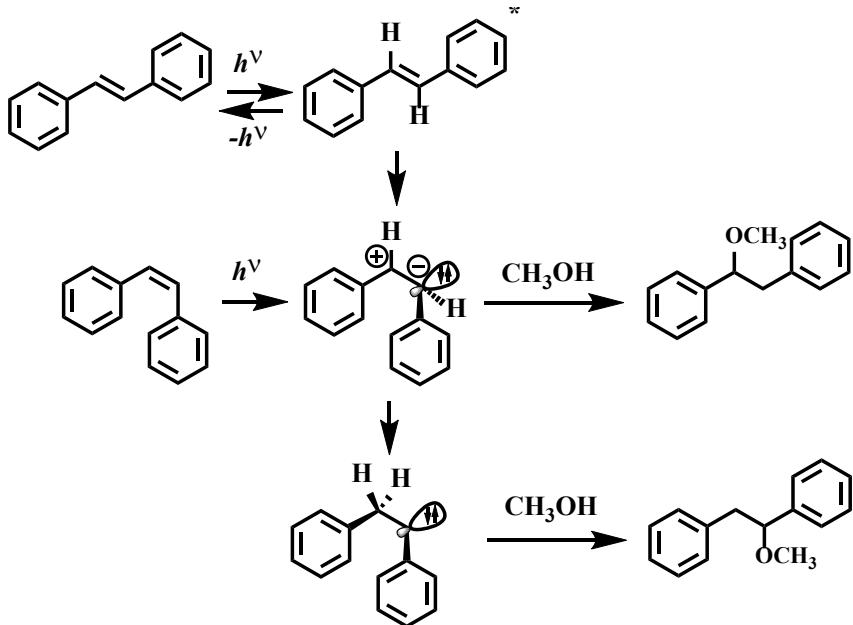


**Figure 5.**  $\alpha/\beta$  normalization line for the benzophenone luminescence system. The standard deviation for the van't Hoff plot as a function of  $\beta$  is also shown. The minimum determines the  $\beta_{DF}$  value corresponding to the spectrum of the pure delayed benzophenone fluorescence.

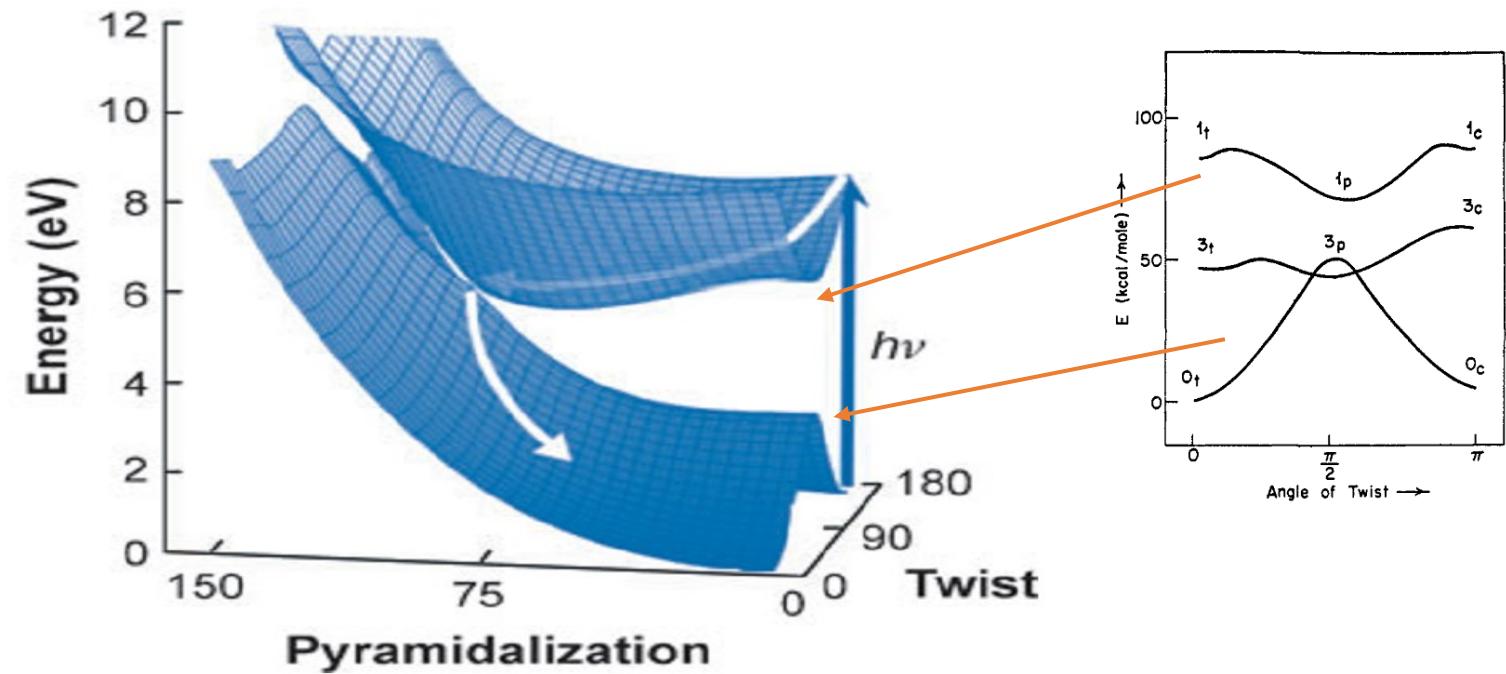


**Figure 9.** Emission spectra of benzophenone in  $\text{CCl}_4$  corrected for nonlinearity in instrumental response and normalized to unit area: phosphorescence (dashed-dotted line), delayed fluorescence (solid line), and prompt fluorescence (dashed line). The first two spectra are obtained by extrapolation of the  $\alpha$ ,  $\beta$  coefficients of the pure component spectra to  $23.5\text{ }^\circ\text{C}$ , and the prompt fluorescence is an average of 40 spectra measured at room temperature (ca.  $22.0\text{ }^\circ\text{C}$ ) for  $\lambda_{\text{exc}} = 326\text{ nm}$ .

# Multidimensional Isomerization – Conical Intersections – Trapping Twisted Intermediates



$$\vec{h}_{S_0 S_1} = (E_1 - E_0)^{-1} \left\langle \psi_{S_0}^{el} \left| \frac{\partial \hat{H}}{\partial R} \right| \psi_{S_1}^{el} \right\rangle$$



Saltiel, J.; Gupta, S. Photochemistry of the Stilbenes in Methanol. Trapping the Common Phantom Singlet State. *J. Phys. Chem. A* 2018, 122, 6089-6099.

B. G. Levine, T. J. Martínez. *Annu. Rev. Phys. Chem.* 2007, 58, 613-34.





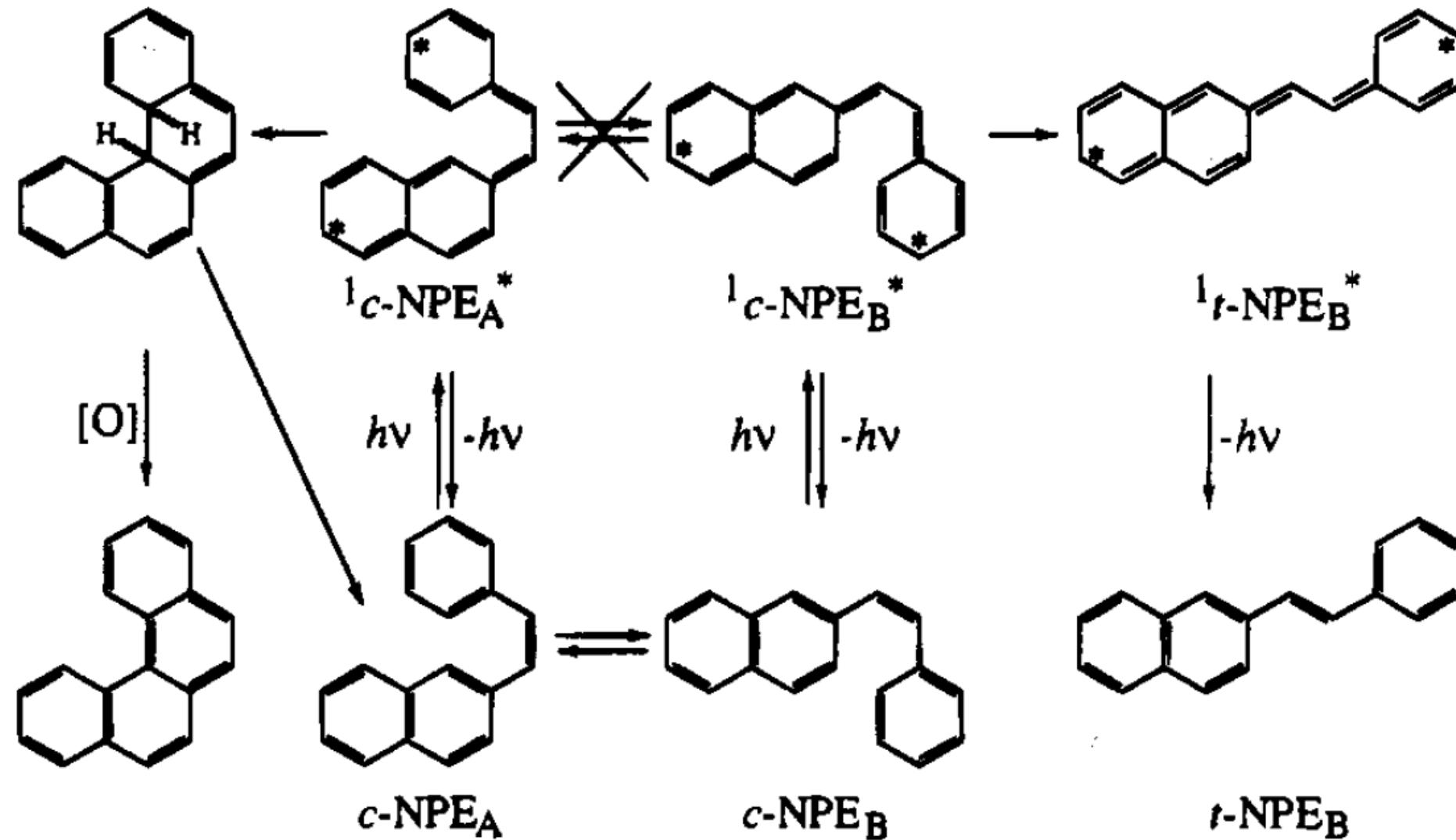
# Acknowledgments



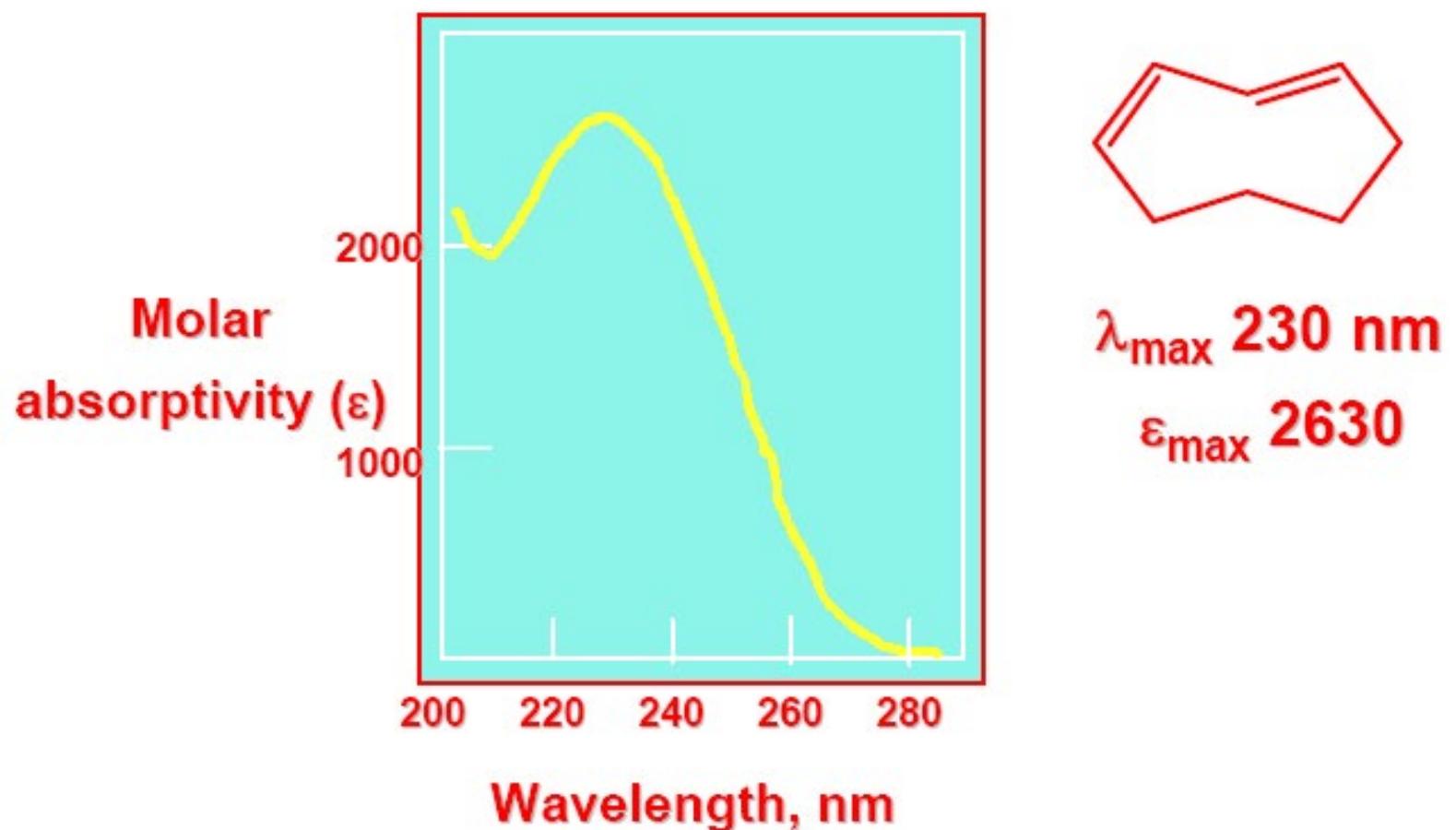
PCA-SM  
C. Redwood  
L. Zimanyi  
Experimental  
Gosia Bayda,  
Shipra Gupta  
Sumesh Krishnan  
Support  
NSF (1965-2020)

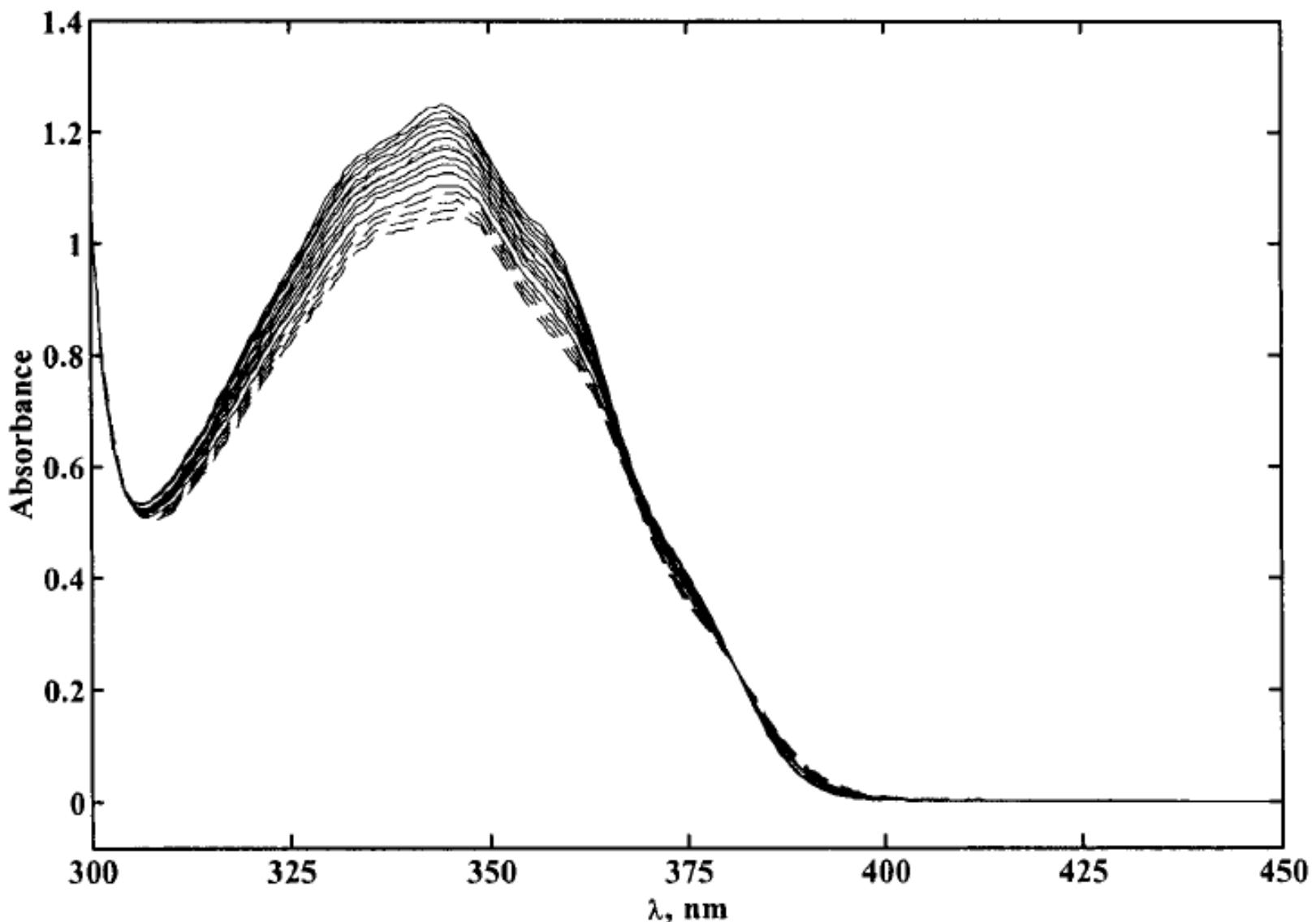


# Conformer specific photochemistry in *c*- and *t*-NPE



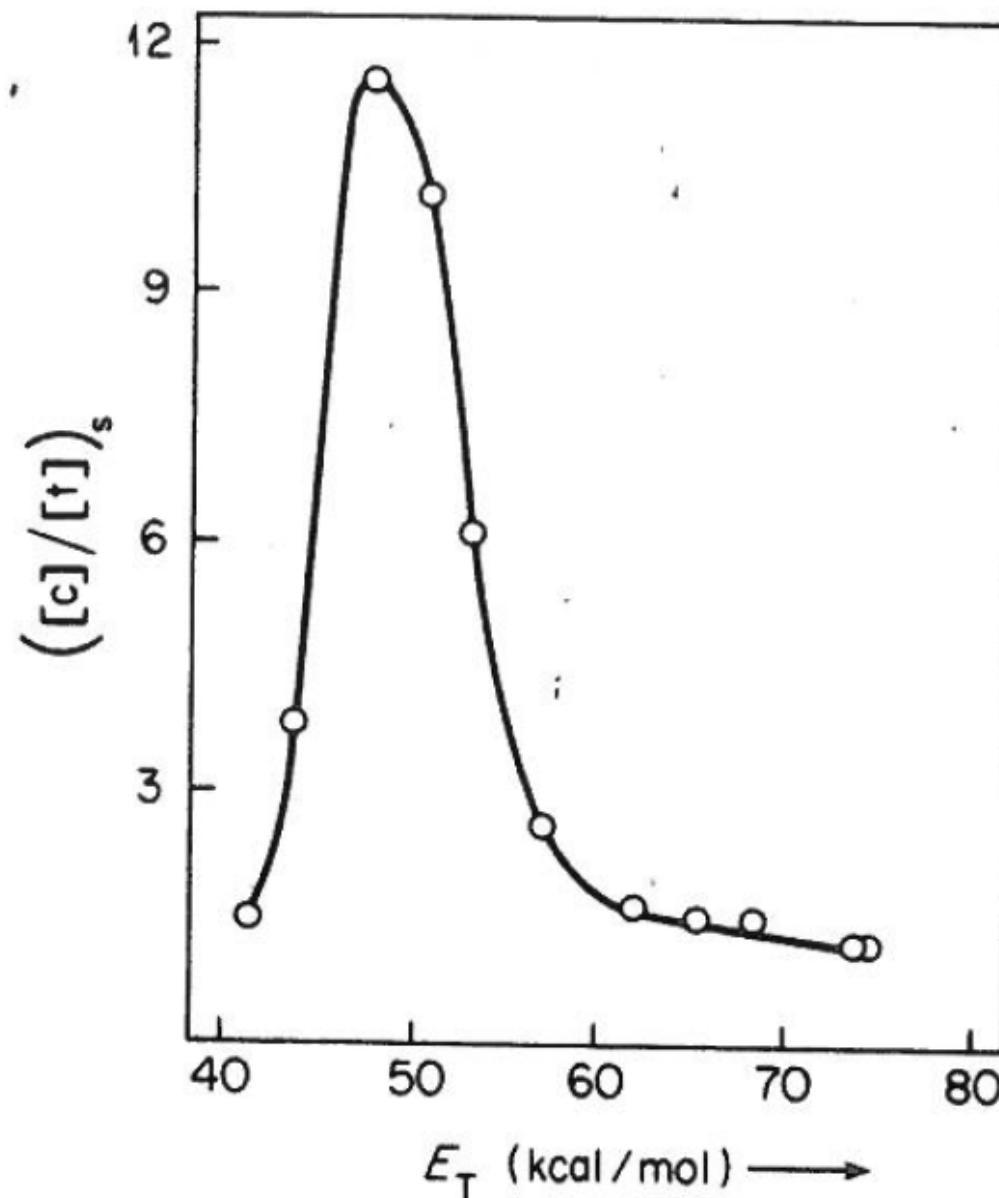
## *UV Spectrum of cis,trans-1,3-cyclooctadiene*





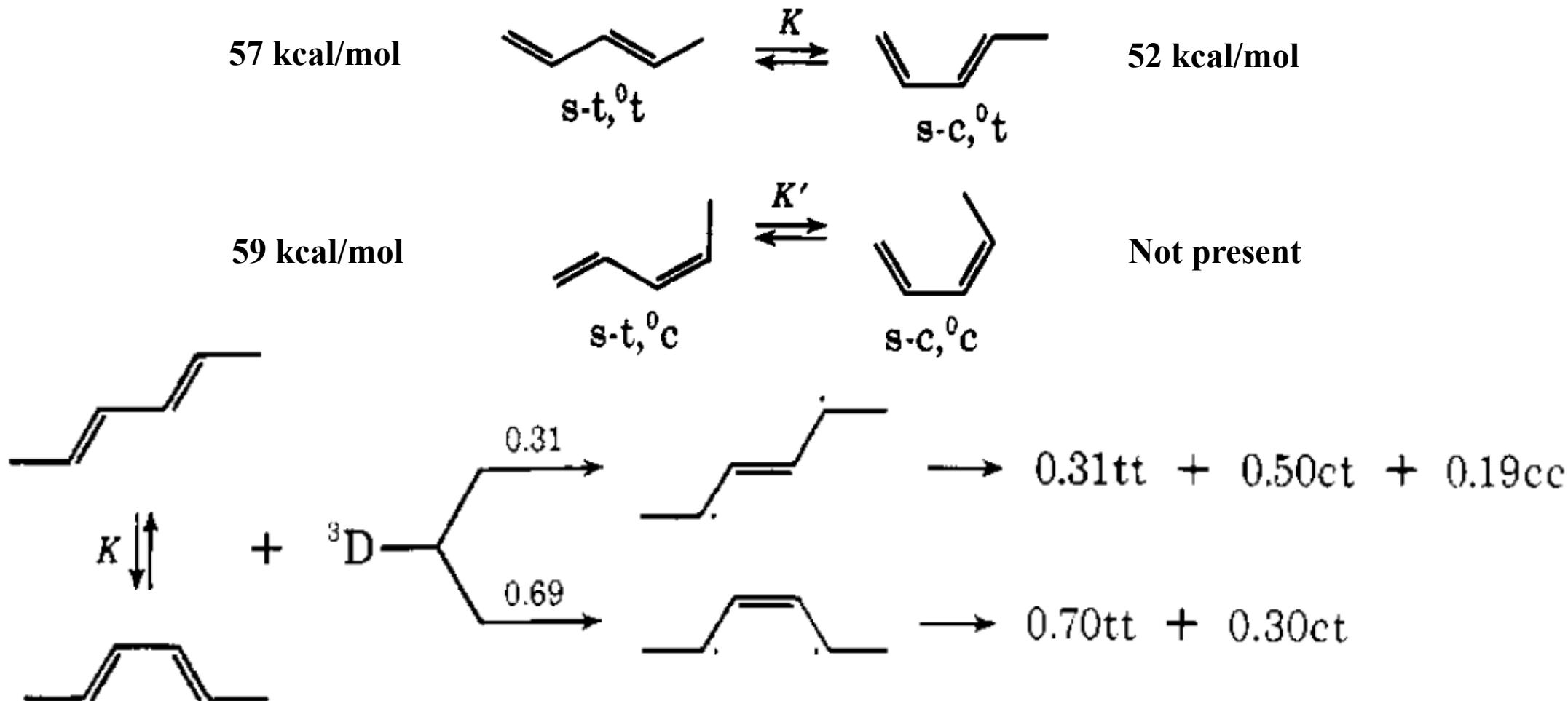
**Figure 2.** Temperature dependence of the  $n, \pi^*$  absorption spectrum of a 0.0093 M benzophenone solution in  $\text{CCl}_4$ : experimental spectra (14.7–<sup>50</sup>68.1 °C), solid lines; extrapolated spectra (73.5–88.5 °C), dashed lines (see the Supporting Information).

# The Saltiel Plot for Stilbene Triplet Photoisomerization



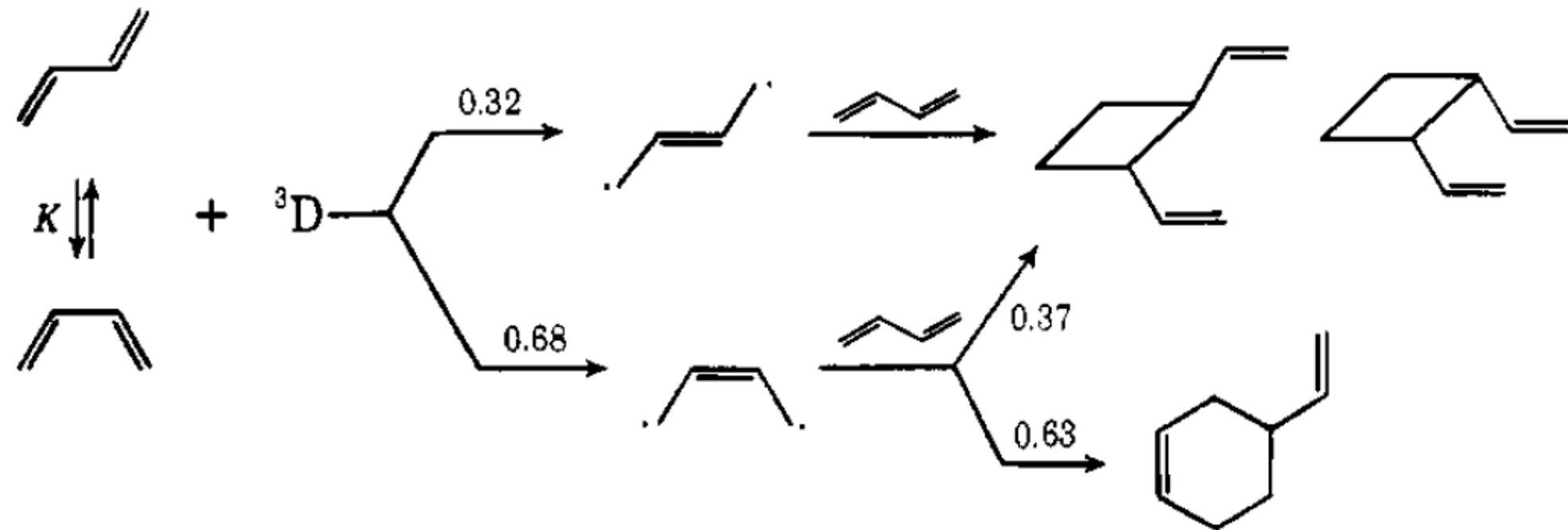
# 1,3-Diene Triplets: Conformer Specific Photoisomerization and Photodimerization

## Fluorenone sensitized photoisomerization

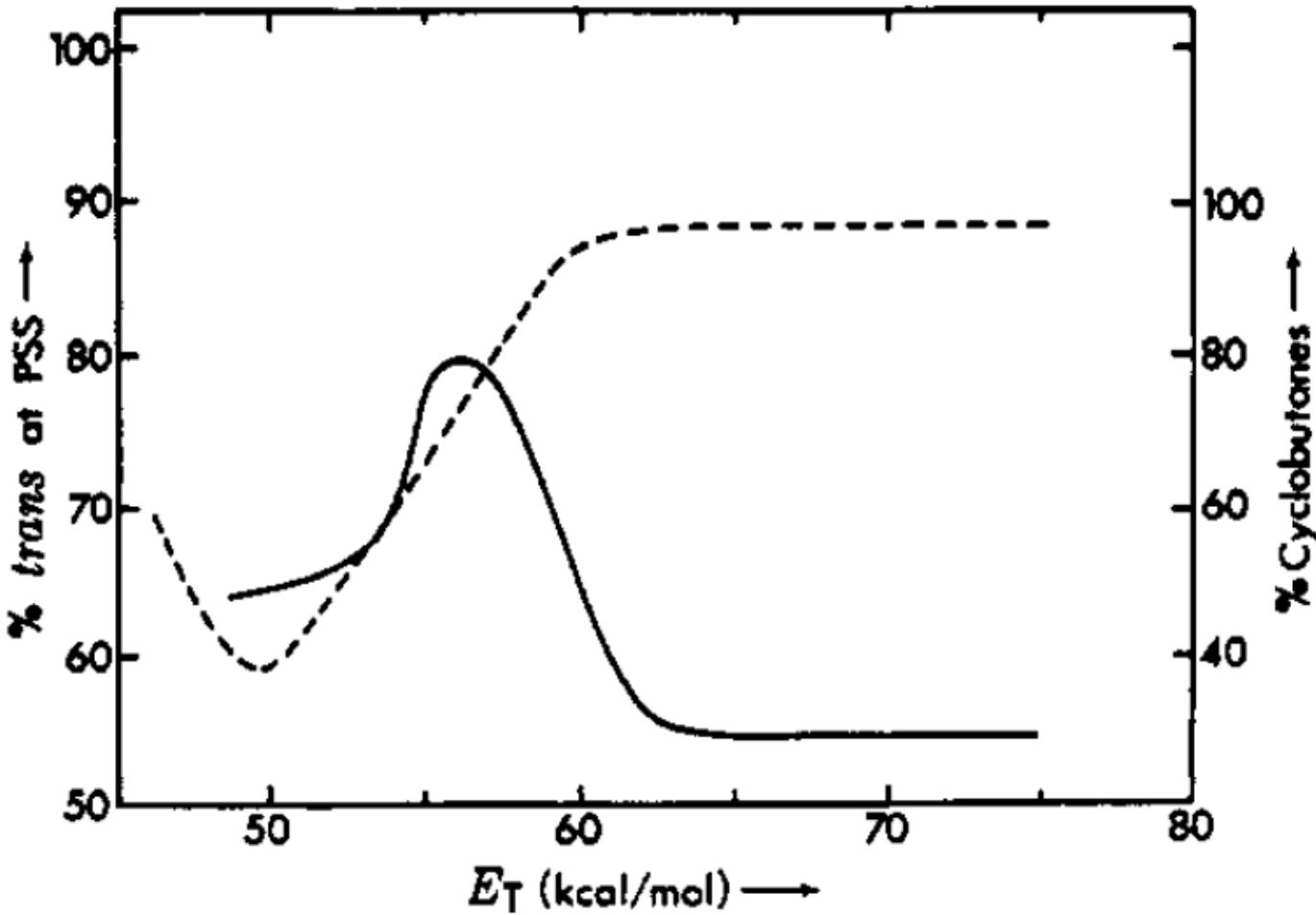


# 1,3-Diene Triplets: Conformer Specific Photoisomerization and Photodimerization

## Fluorenone sensitized dimerization

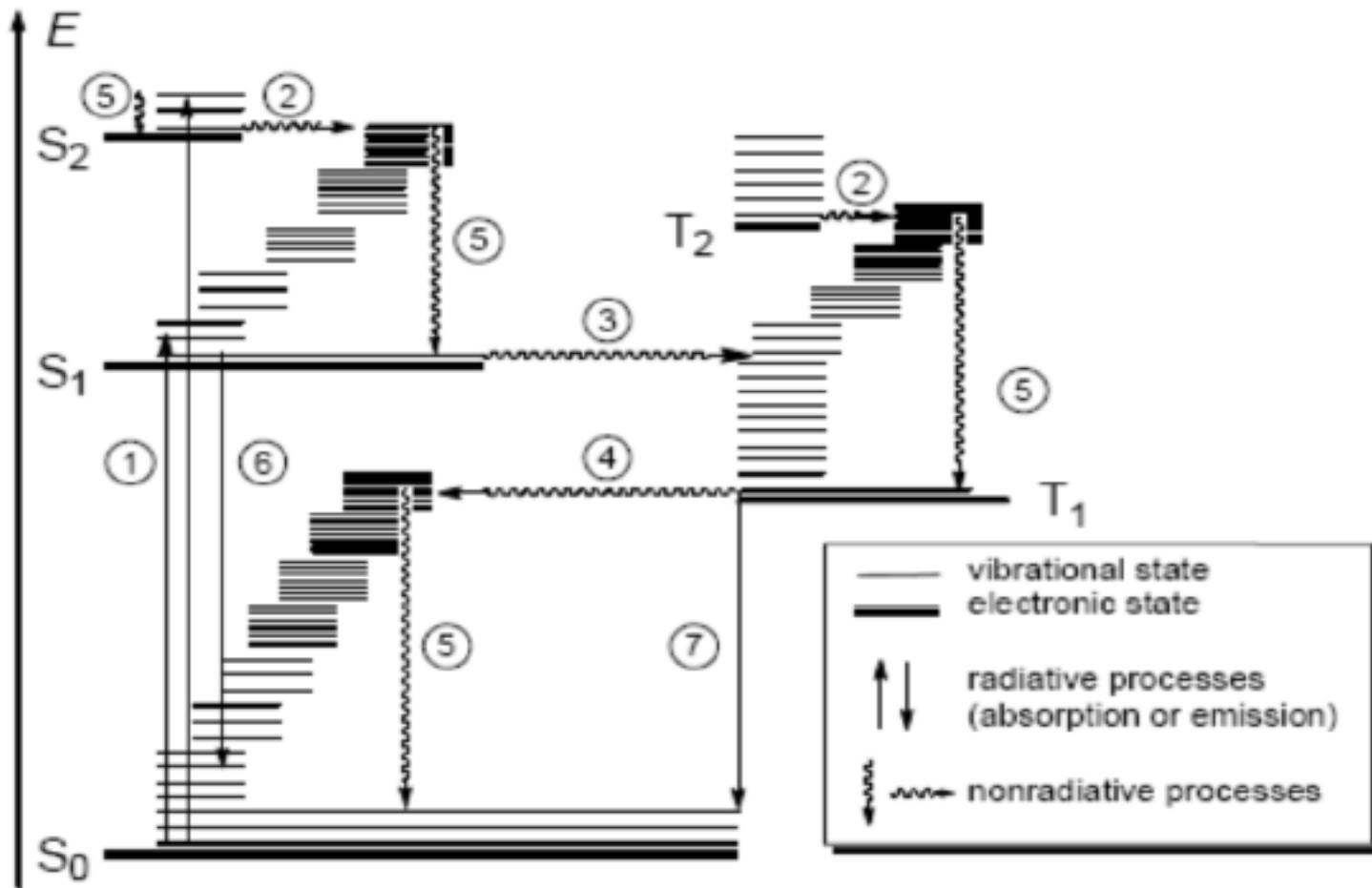


# Saltiel Plots



1,3-butadiene dimerization  
dashes

1,3-pentadiene *E,Z* isomerization  
solid line



**Figure 2-1.** State diagram (commonly called Jablonski diagram) depicting molecular states and photophysical processes. The vertical position of thick horizontal lines represents the electronic energy minimum. Vibrational energy levels are shown as thin lines. The width of the horizontal lines and their position along the abscissa are chosen merely to avoid congestion in the graphical diagram and have no physical connotation.

